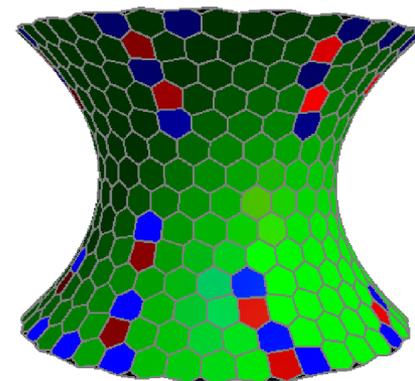
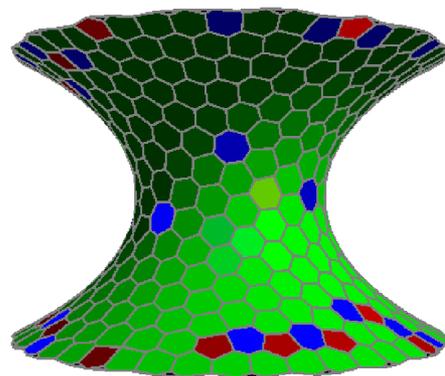
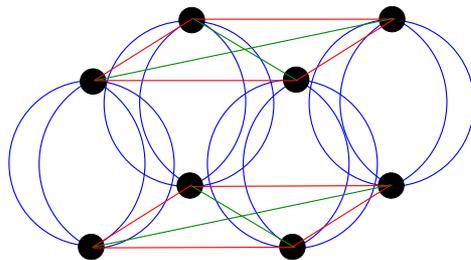
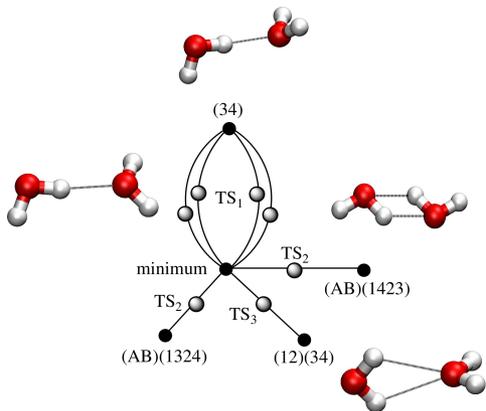


# Energy Landscapes, Pathways and Dynamics: From Atomic to Mesoscopic Systems

Objective: to exploit **stationary points** (minima and transition states) of the PES as a computational framework (*J. Phys. Chem. B*, **110**, 20765, 2006):

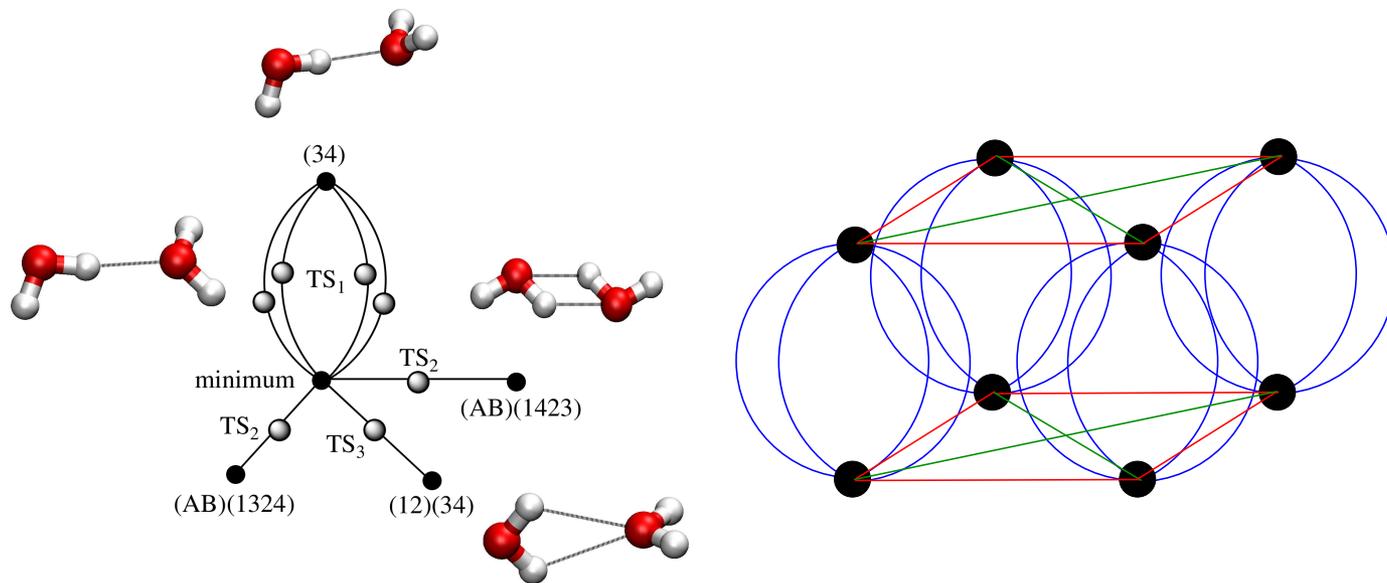
- **Basin-hopping** for global optimisation (*J. Phys. Chem. A*, **101**, 5111 1997)
- **Basin-sampling** for global thermodynamics (*J. Chem. Phys.*, **124**, 044102, 2006)
- **Discrete path sampling** for global kinetics (*Mol. Phys.*, **100**, 3285, 2002)

For small molecules (**left**), all the relevant **stationary points** and **pathways** can be located. Larger systems (**right**) require appropriate sampling.



## Tunneling in $(\text{H}_2\text{O})_2$

The largest number of versions connected by **feasible** rearrangements is **8**, and the largest MS group has order **16** (*J. Chem. Phys.*, **120**, 5993, 2004).

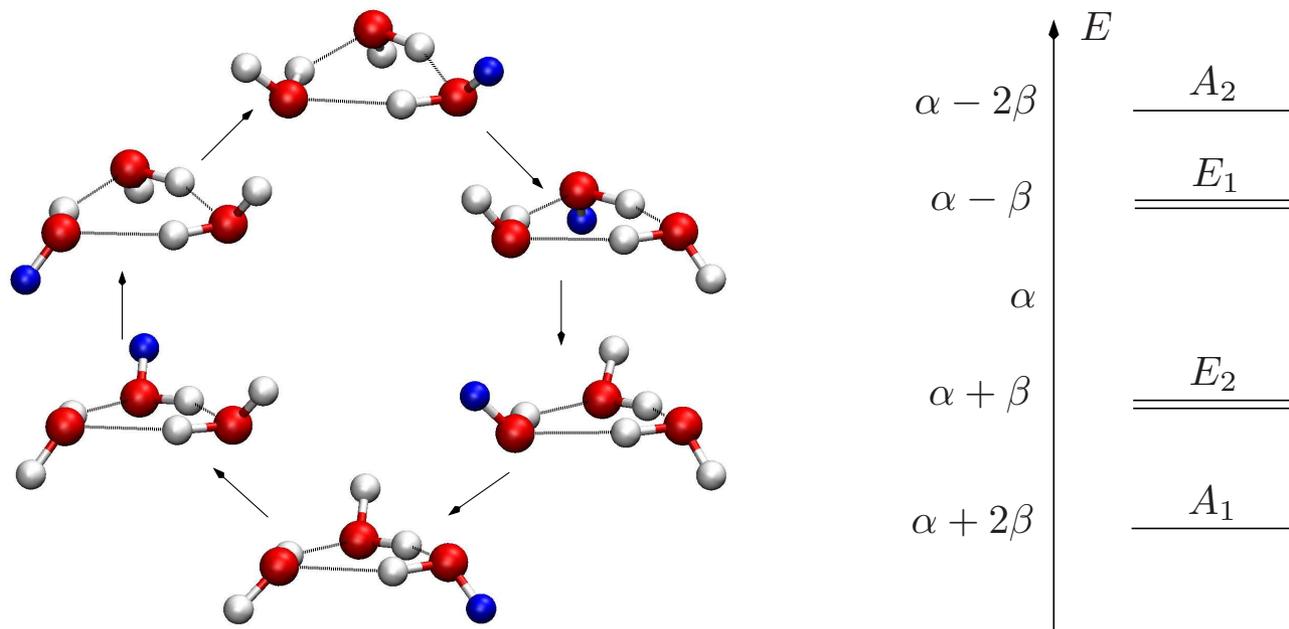


**Acceptor tunneling** produces the biggest tunneling splitting via a 'methylamine-type' process resulting in **doublet** splittings and an MS group of order 4. The next-largest splitting comes from **donor-acceptor** interchange producing an MS group of order 16 and a doublet of **triplets**.

**Bifurcation** (donor) tunneling simply causes a **shift** in the energy levels.

## Tunneling in $(\text{H}_2\text{O})_3$ (*Chem. Rev.*, **103**, 2533, 2003)

Far-infrared vibration-rotation tunneling spectroscopy probes **large amplitude** motion (Saykally and coworkers). Resolution: 1 MHz ( $3 \times 10^{-5} \text{ cm}^{-1}$ ).



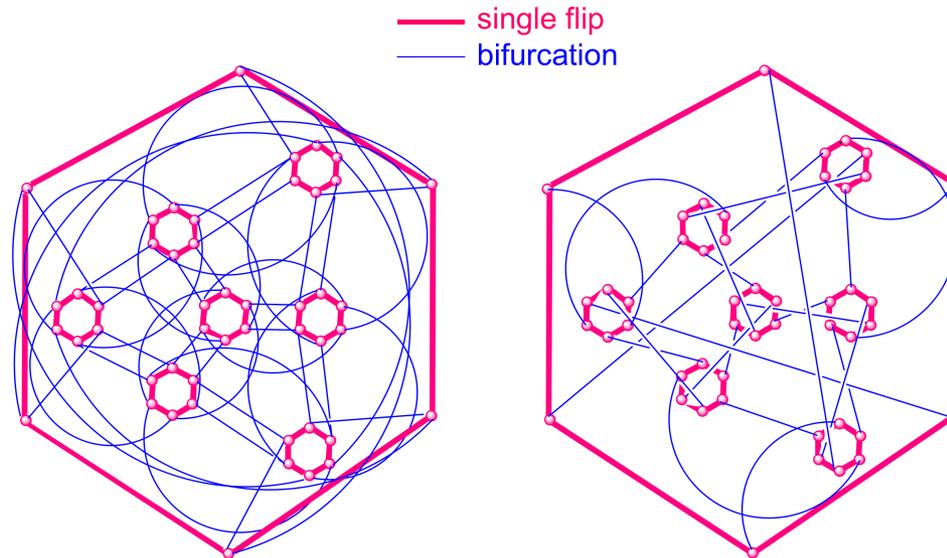
For  $(\text{H}_2\text{O})_3$  there are two ‘feasible’ rearrangement mechanisms.

The facile ‘flip’ causes vibrational averaging of the rotational constants with splittings of order  $10 \text{ cm}^{-1}$  and links permutational isomers in sets of **six**, producing a splitting **pattern** analogous to the  $\pi$  system of **benzene** and an MS group isomorphic to  $C_{3h}$  (*J. Am. Chem. Soc.*, **115**, 11180, 1993).

**Bifurcation** tunneling links versions in **pairs**. When **combined** with the flip **48** versions are connected, which can be partitioned into eight sets of six.

Depending on the **level of theory**, a bifurcation may be accompanied by zero, one or two flips of the neighbouring water molecules.

There are actually **six** ways of combining the bifurcation with these flips to give **degenerate** rearrangements, and **two** distinct splitting patterns:



Group A

bifurcation + no flip / single flip

Group B

bifurcation + double flip

Only one pattern has entirely **regular** quartets and the corresponding generators all contain  $E^*$ , in agreement with analysis of **Coriolis** splittings.

The **quartet** splittings are of order  $0.01 \text{ cm}^{-1}$ ; spectra have now been successfully assigned for various **isotopomers** (*J. Chem. Phys.*, **117**, 8823, 2002).

The tunneling matrix elements for the flip and bifurcation,  $\beta_f$  and  $\beta_b$ , have been estimated from the geometrical pathways using a **semiclassical** approach.

A **vibrationally adiabatic** effective potential was defined as

$$V_a(s) = V_{\text{SD}}(s) + V_{\text{vib}}(s), \quad (1)$$

where  $V_{\text{SD}}(s)$  is the potential energy along the steepest-descent pathways (calculated at the MP2/aug-cc-pVTZ level) as a function of the path length,  $s$ , and  $V_{\text{vib}}(s)$  is the sum of **zero-point** energies for the other modes.

The **WKB** approach was then applied to  $V_a(s)$ .

Tunneling matrix elements were calculated as  $\beta = \hbar\nu \exp(-\theta)$ , where  $\nu$  is the vibrational frequency corresponding to the given rearrangement and

$$\theta = \frac{1}{\hbar} \int_{s_0}^{s_1} \sqrt{2(V_a(s) - E)} ds, \quad (1)$$

where the integration limits are defined by  $V_a(s_0) = V_a(s_1) = E$ , and  $E$  is the zero-point energy for the reaction mode.

The observed tunnelling splittings correspond to  $2\beta_f$  for the flip and  $4\beta_b/3$  for the bifurcation, giving values in  $\text{cm}^{-1}$  (*J. Chem. Phys.*, **123**, 044302, 2005)

---

---

	calculated	experiment
<b>Flip</b>		
(H <sub>2</sub> O) <sub>3</sub>	37.93	43.52
(D <sub>2</sub> O) <sub>3</sub>	30.84	20.54
<b>Bifurcation</b>		
(H <sub>2</sub> O) <sub>3</sub>	$6.50 \times 10^{-3}$	$9.63 \times 10^{-3}$
(D <sub>2</sub> O) <sub>3</sub>	$2.54 \times 10^{-5}$	$1.66 \times 10^{-4}$

---

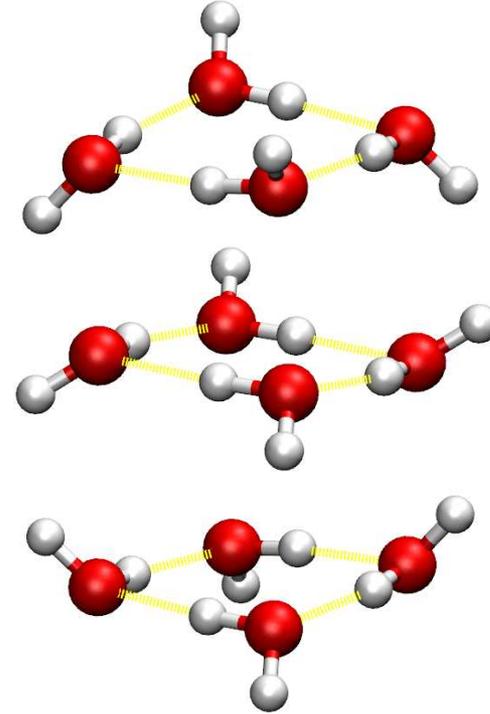
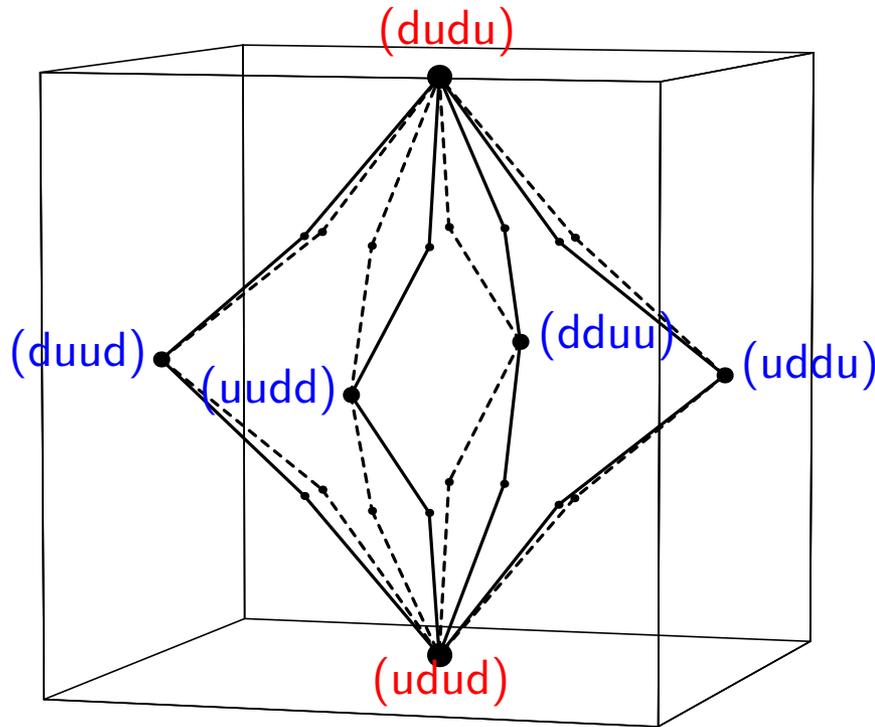
---

# Tunneling in $(\text{H}_2\text{O})_5$ (*J. Chem. Phys.*, **105**, 6957, 1996)

$\mathcal{G}(320)$	1	1	5	5	5	5	5	5	16	16	16	16	16	16	16	16	1	1	5	5	5	5	5	5	16	16	16	16	16	16	16	16
$A_1^+$	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
$A_2^+$	1	-1	-1	1	1	-1	-1	1	1	-1	1	-1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	1	-1	1	-1	-1	1
$E_1^+$	2	2	2	2	2	2	2	2	$\phi$	$\phi$	$-\phi$	$-\phi$	$-\phi$	$-\phi$	$\phi$	$\phi$	2	2	2	2	2	2	2	2	$\phi$	$\phi$	$-\phi$	$-\phi$	$-\phi$	$-\phi$	$\phi$	$\phi$
$E_2^+$	2	2	2	2	2	2	2	2	$-\phi$	$-\phi$	$\phi$	$\phi$	$\phi$	$\phi$	$-\phi$	$-\phi$	2	2	2	2	2	2	2	2	$-\phi$	$-\phi$	$\phi$	$\phi$	$\phi$	$\phi$	$-\phi$	$-\phi$
$E_3^+$	2	-2	-2	2	2	-2	-2	2	$\phi$	$-\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	2	-2	-2	2	2	-2	-2	2	$\phi$	$-\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$
$E_4^+$	2	-2	-2	2	2	-2	-2	2	$-\phi$	$\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	2	-2	-2	2	2	-2	-2	2	$-\phi$	$\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$
$H_1^+$	5	5	1	1	-3	1	-3	1	0	0	0	0	0	0	0	0	5	5	1	1	-3	1	-3	1	0	0	0	0	0	0	0	0
$H_2^+$	5	5	1	-3	1	-3	1	1	0	0	0	0	0	0	0	0	5	5	1	-3	1	-3	1	1	0	0	0	0	0	0	0	0
$H_3^+$	5	-5	-1	1	-3	-1	3	1	0	0	0	0	0	0	0	0	5	-5	-1	1	-3	-1	3	1	0	0	0	0	0	0	0	0
$H_4^+$	5	-5	-1	-3	1	3	-1	1	0	0	0	0	0	0	0	0	5	-5	-1	-3	1	3	-1	1	0	0	0	0	0	0	0	0
$H_5^+$	5	5	-3	1	1	1	1	-3	0	0	0	0	0	0	0	0	5	5	-3	1	1	1	1	-3	0	0	0	0	0	0	0	0
$H_6^+$	5	-5	3	1	1	-1	-1	-3	0	0	0	0	0	0	0	0	5	-5	3	1	1	-1	-1	-3	0	0	0	0	0	0	0	0
$A_1^-$	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	
$A_2^-$	1	-1	-1	1	1	-1	-1	1	1	-1	1	-1	1	-1	-1	1	-1	1	1	-1	-1	1	1	-1	-1	1	-1	1	-1	1	1	-1
$E_1^-$	2	2	2	2	2	2	2	2	$\phi$	$\phi$	$-\phi$	$-\phi$	$-\phi$	$-\phi$	$\phi$	$\phi$	-2	-2	-2	-2	-2	-2	-2	-2	$-\phi$	$-\phi$	$\phi$	$\phi$	$\phi$	$\phi$	$-\phi$	$-\phi$
$E_2^-$	2	2	2	2	2	2	2	2	$-\phi$	$-\phi$	$\phi$	$\phi$	$\phi$	$\phi$	$-\phi$	$-\phi$	-2	-2	-2	-2	-2	-2	-2	-2	$\phi$	$\phi$	$-\phi$	$-\phi$	$-\phi$	$-\phi$	$\phi$	$\phi$
$E_3^-$	2	-2	-2	2	2	-2	-2	2	$\phi$	$-\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	-2	2	2	-2	-2	2	2	-2	$-\phi$	$\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$
$E_4^-$	2	-2	-2	2	2	-2	-2	2	$-\phi$	$\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	-2	2	2	-2	-2	2	2	-2	$\phi$	$-\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$	$-\phi$	$\phi$
$H_1^-$	5	5	1	1	-3	1	-3	1	0	0	0	0	0	0	0	0	-5	-5	-1	-1	3	-1	3	-1	0	0	0	0	0	0	0	0
$H_2^-$	5	5	1	-3	1	-3	1	1	0	0	0	0	0	0	0	0	-5	-5	-1	3	-1	3	-1	-1	0	0	0	0	0	0	0	0
$H_3^-$	5	-5	-1	1	-3	-1	3	1	0	0	0	0	0	0	0	0	-5	5	1	-1	3	1	-3	-1	0	0	0	0	0	0	0	0
$H_4^-$	5	-5	-1	-3	1	3	-1	1	0	0	0	0	0	0	0	0	-5	5	1	3	-1	-3	1	-1	0	0	0	0	0	0	0	0
$H_5^-$	5	5	-3	1	1	1	1	-3	0	0	0	0	0	0	0	0	-5	-5	3	-1	-1	-1	-1	3	0	0	0	0	0	0	0	0
$H_6^-$	5	-5	3	1	1	-1	-1	-3	0	0	0	0	0	0	0	0	-5	5	-3	-1	-1	1	1	3	0	0	0	0	0	0	0	0

Feasible **flip** and **bifurcation** mechanisms also exist for  $(\text{H}_2\text{O})_5$ , giving a molecular symmetry group of order **320**.  $\phi = (\sqrt{5} + 1)/2$  and  $\phi = 1/\phi = \phi - 1$ . Tunneling splittings have now been **assigned** experimentally using  $\mathcal{G}(320)$ .

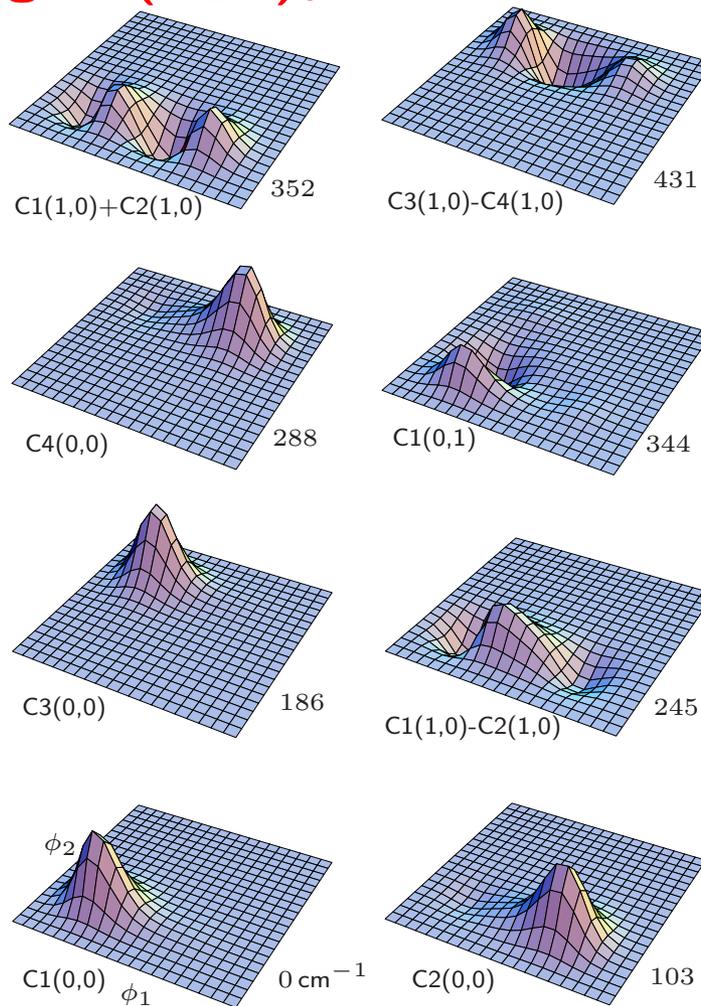
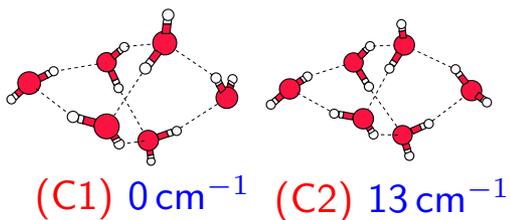
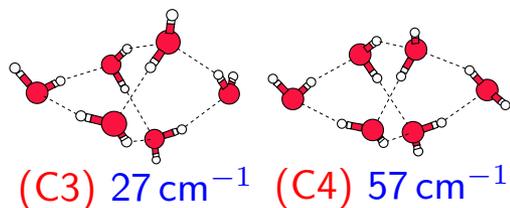
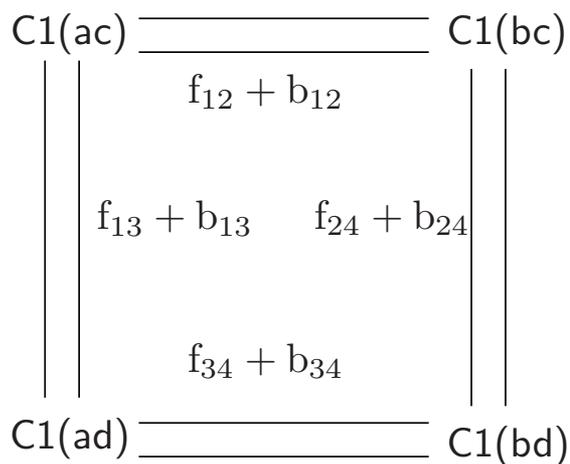
# Tunnelling in $(\text{H}_2\text{O})_4$ (*J. Chem. Phys.*, **106**, 7193, 1997)



The global minimum of  $(\text{H}_2\text{O})_4$  is an  $S_4$  **unfrustrated** ring; no low energy **degenerate** rearrangements have been found (one high energy **bifurcation**).

The experimental doublet splitting of 5.6 MHz for  $(\text{D}_2\text{O})_4$  is consistent with a **quadruple** flip, but is it **concerted**, **sequential** or **delocalised** over different structures? The direct **bifurcation** path gives a **different** splitting pattern.

# Tunnelling in $(\text{H}_2\text{O})_6$



Four cage isomers **interconvert** through flip and bifurcation mechanisms. DVR calculations in the torsional space of ‘dangling’ hydrogens suggest **delocalisation** in excited states (‘Theory of Atomic and Molecular Clusters’, Springer, p. 86, 1999)

## Path Integrals and Instanton Trajectories (*JCP*, **131**, 214106, 2009)

The **ring polymer** approximation to the quantum partition function  $Q(T) = \text{trace} \left[ e^{-\beta \hat{H}} \right]$  is obtained by a **Trotter** factorisation into  $P$  imaginary time steps of length  $\beta \hbar / P$ . For particles of mass  $m$  and  $\mathbf{x} = \{\mathbf{x}_1, \dots, \mathbf{x}_P\}$ :

$$Q(T) \approx \left( \frac{mP}{2\pi\beta\hbar^2} \right)^{P/2} \int e^{-\beta U_P(\mathbf{x})/P} d\mathbf{x},$$

with

$$U_P(\mathbf{x}) = \sum_{\alpha=1}^P V(\mathbf{x}_\alpha) + \frac{mP^2}{2\beta^2\hbar^2} \sum_{\alpha=1}^P (\mathbf{x}_\alpha - \mathbf{x}_{\alpha+1})^2,$$

a mapping onto a classical **ring polymer** with  $P$  beads, each corresponding to a configuration of the physical system and coupled by **harmonic springs**.

Stationary points of  $U_P(\mathbf{x})$  provide a finite-difference approximation to periodic **instanton** pathways, and hence **rate constants**. This approach also provides access to **tunneling splittings** for larger water clusters, where **all** degrees of freedom are treated **quantum mechanically**.

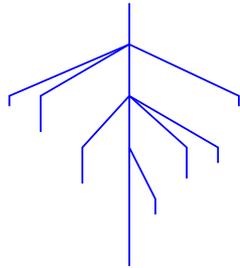
# Disconnectivity Graphs

Disconnectivity graphs provide a powerful way to **visualise** the PES from a database of stationary points (Becker and Karplus).

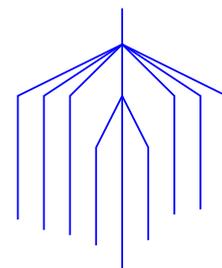
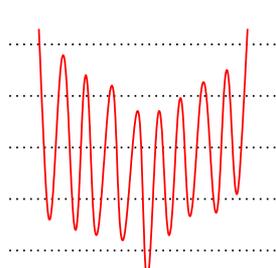
At a given total energy,  $E$ , the minima can be grouped into **disjoint sets**, whose members are mutually accessible at that energy: each pair of minima in a set is connected directly or indirectly by a path with energy  $< E$ .

Connected graphs that contain no cycles are known as **'trees'**.

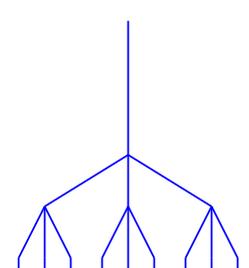
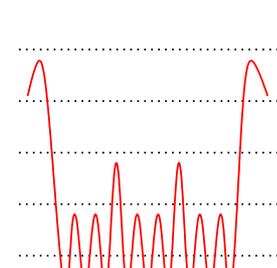
**'palm tree'**



**'weeping willow'**



**'banyan tree'**



**Catastrophe theory** explains why **short-range** potentials result in surfaces that are globally **flatter** but locally **rougher**, while **long-range** potentials produce more pronounced potential energy **funnels** and efficient local **relaxation**.

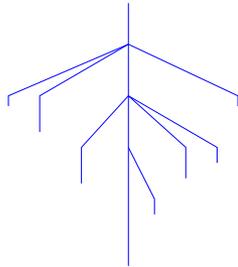
# Disconnectivity Graphs

Disconnectivity graphs provide a powerful way to **visualise** the PES from a database of stationary points (Becker and Karplus).

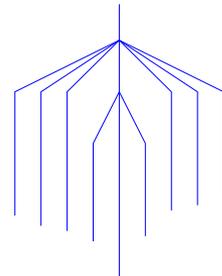
At a given total energy,  $E$ , the minima can be grouped into **disjoint sets**, whose members are mutually accessible at that energy: each pair of minima in a set is connected directly or indirectly by a path with energy  $< E$ .

Connected graphs that contain no cycles are known as **'trees'**.

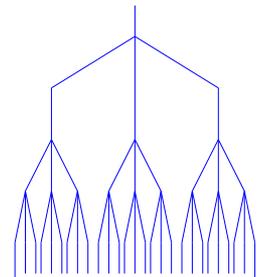
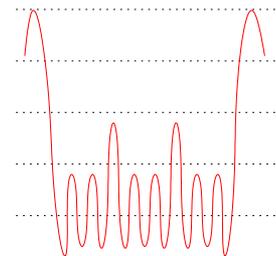
**'palm tree'**



**'weeping willow'**



**'banyan tree'**



**Catastrophe theory** explains why **short-range** potentials result in surfaces that are globally **flatter** but locally **rougher**, while **long-range** potentials produce more pronounced potential energy **funnels** and efficient local **relaxation**.

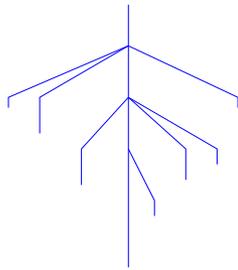
# Disconnectivity Graphs

Disconnectivity graphs provide a powerful way to **visualise** the PES from a database of stationary points (Becker and Karplus).

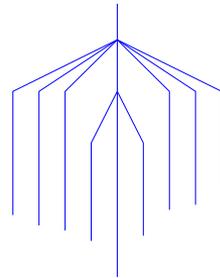
At a given total energy,  $E$ , the minima can be grouped into **disjoint sets**, whose members are mutually accessible at that energy: each pair of minima in a set is connected directly or indirectly by a path with energy  $< E$ .

Connected graphs that contain no cycles are known as **'trees'**.

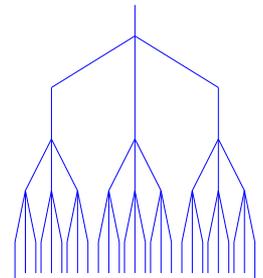
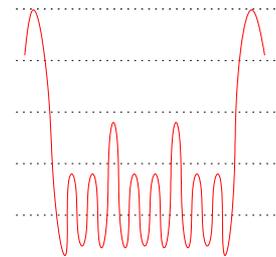
**'palm tree'**



**'weeping willow'**



**'banyan tree'**



**Catastrophe theory** explains why **short-range** potentials result in surfaces that are globally **flatter** but locally **rougher**, while **long-range** potentials produce more pronounced potential energy **funnels** and efficient local **relaxation**.

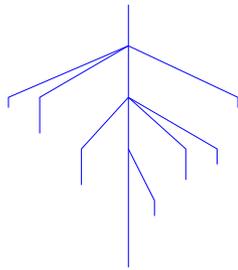
# Disconnectivity Graphs

Disconnectivity graphs provide a powerful way to **visualise** the PES from a database of stationary points (Becker and Karplus).

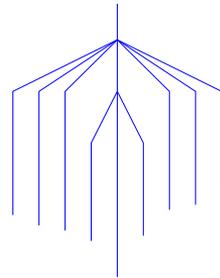
At a given total energy,  $E$ , the minima can be grouped into **disjoint sets**, whose members are mutually accessible at that energy: each pair of minima in a set is connected directly or indirectly by a path with energy  $< E$ .

Connected graphs that contain no cycles are known as **'trees'**.

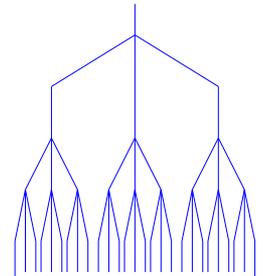
**'palm tree'**



**'weeping willow'**

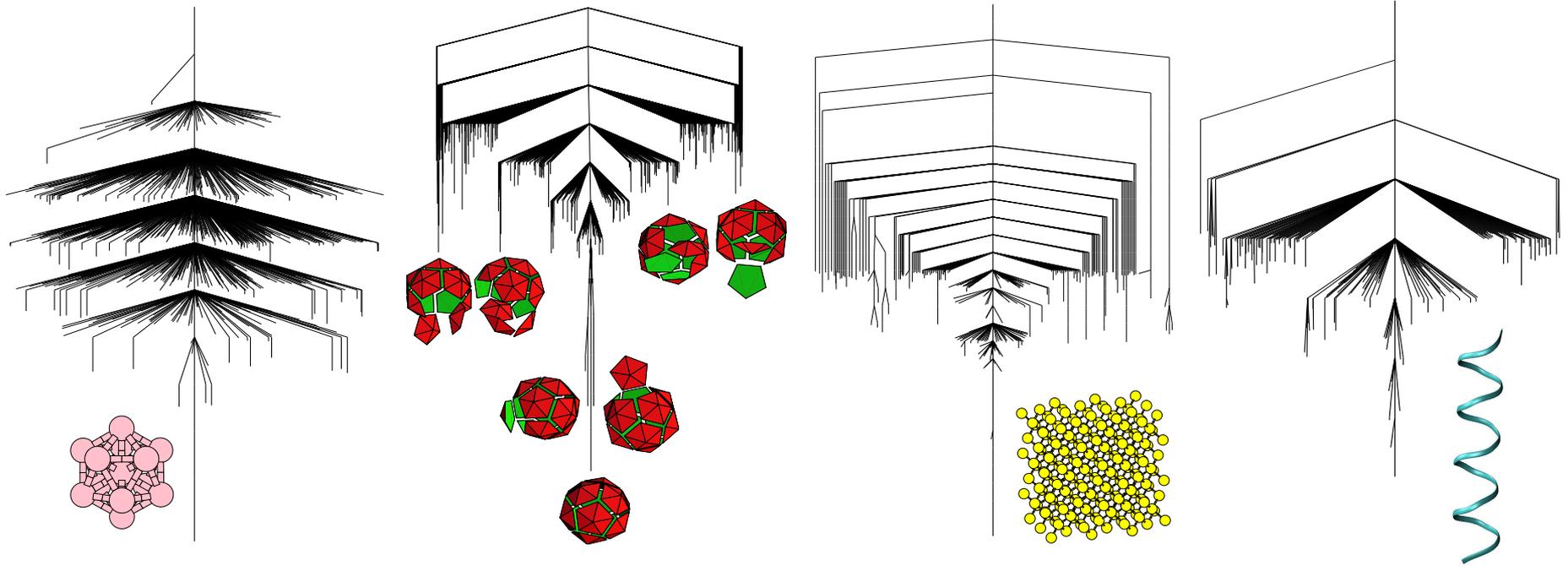


**'banyan tree'**



**Catastrophe theory** explains why **short-range** potentials result in surfaces that are globally **flatter** but locally **rougher**, while **long-range** potentials produce more pronounced potential energy **funnels** and efficient local **relaxation**.

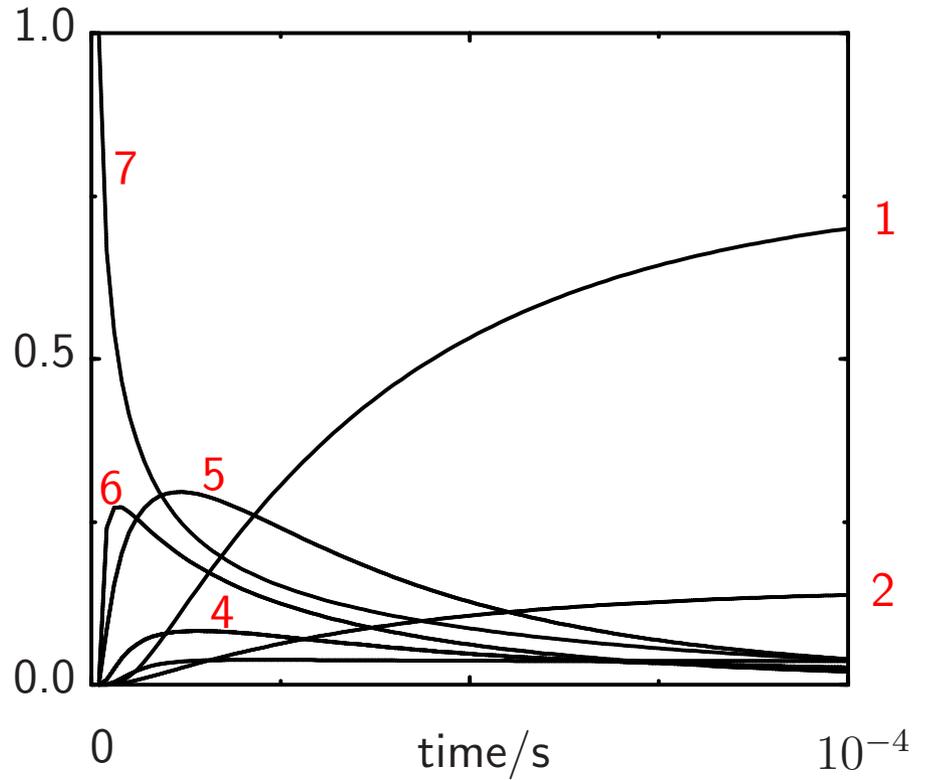
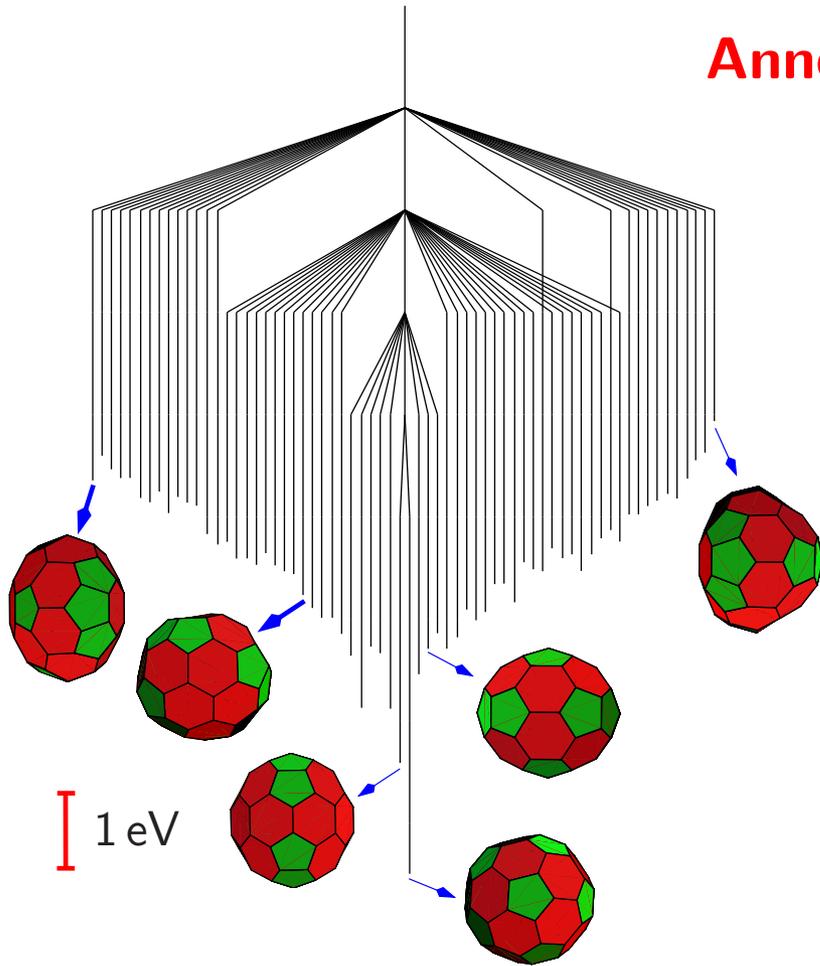
# Disconnectivity Graphs of 'Funnelled' Landscapes



The nonrandom searches that result in **magic number** clusters, **crystallisation**, **self-assembly**, and **protein folding** are associated with a 'palm tree' organisation of the potential energy landscape (*Phil. Trans. Roy. Soc. A*, **363**, 357, 2005).

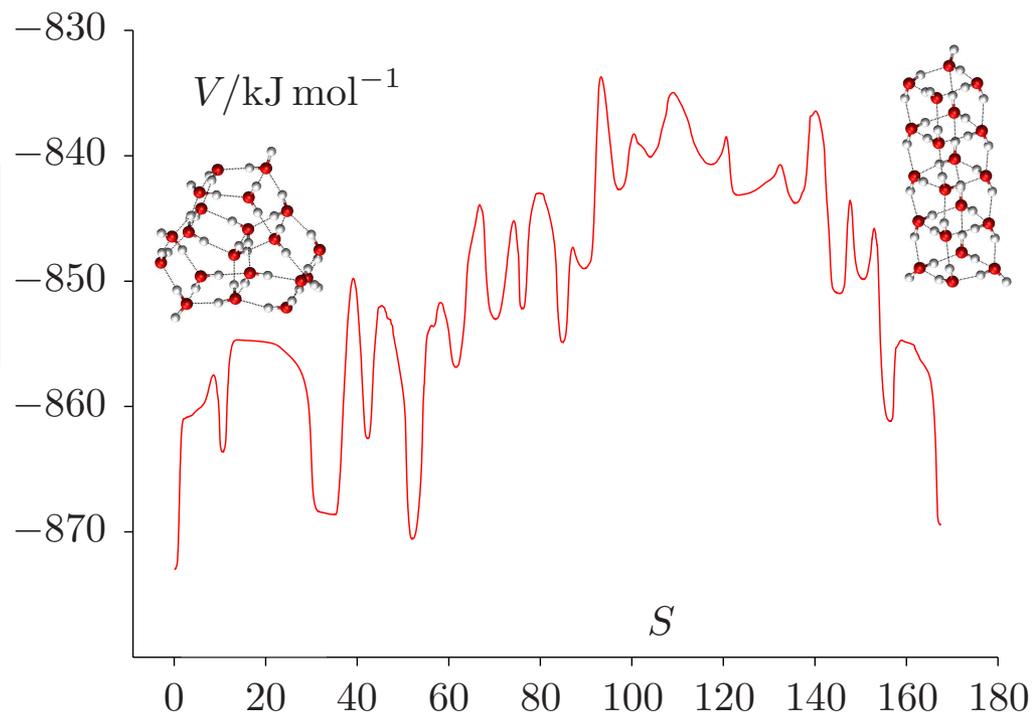
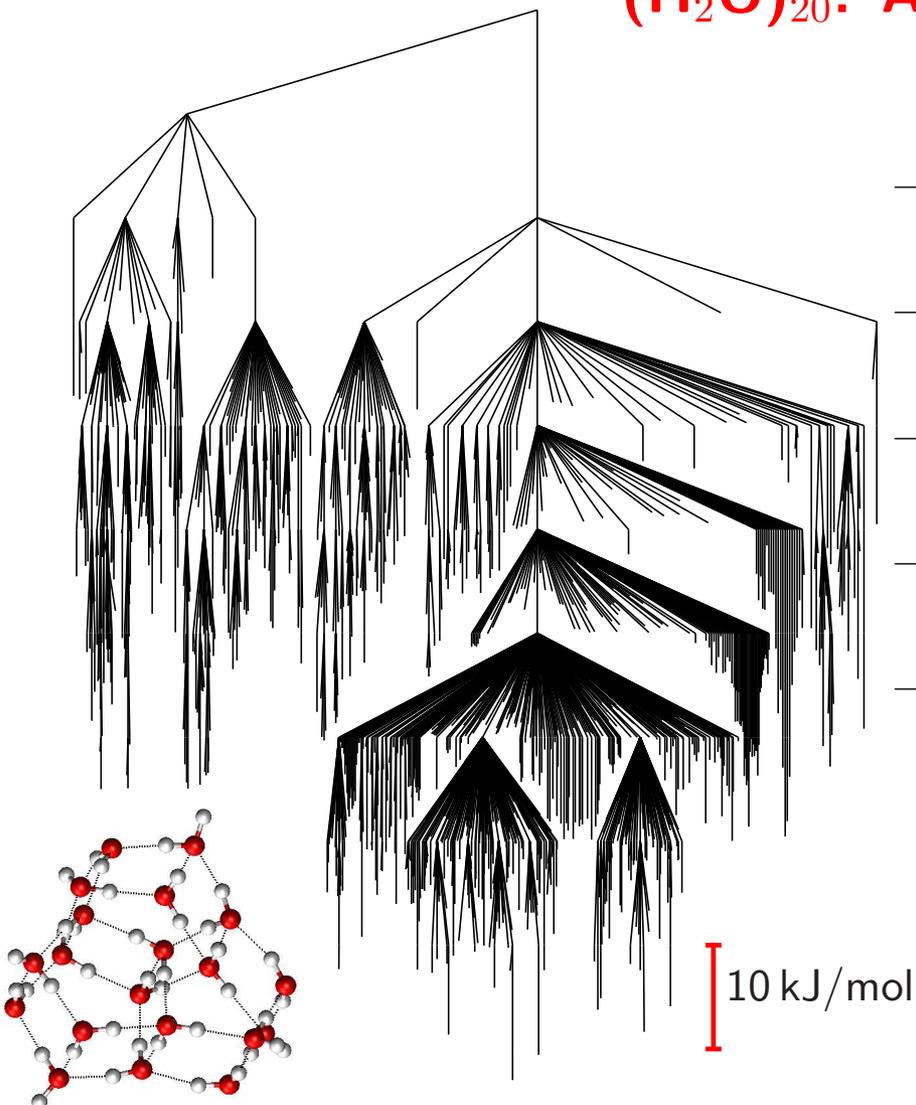
This 'funnelling' pattern has been verified for various **structure-seeking** systems, including the **LJ<sub>13</sub>** cluster, **icosahedral shells** composed of pentagonal and hexagonal pyramids, crystalline (Stillinger-Weber) **silicon**, and the polyaniline **ala<sub>16</sub>**. **Large** systems can exhibit relatively **simple** phenomenology.

## Annealing of $C_{60}$



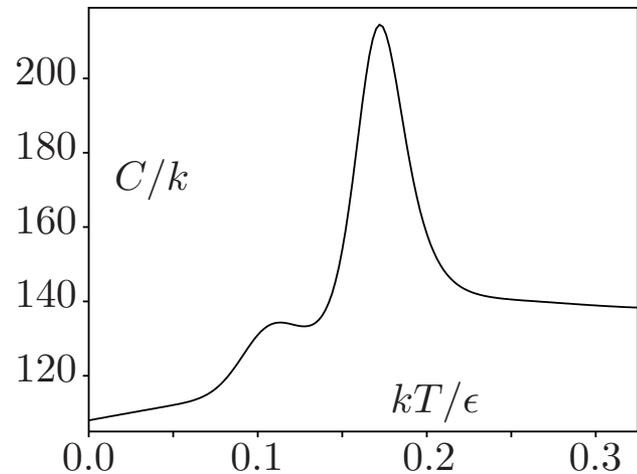
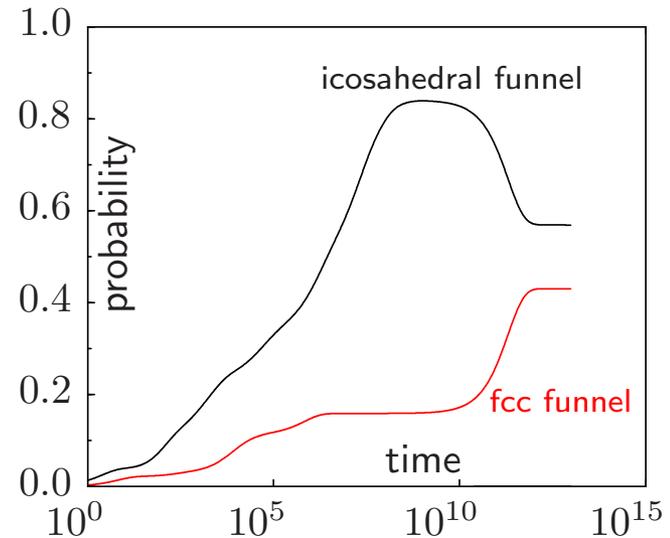
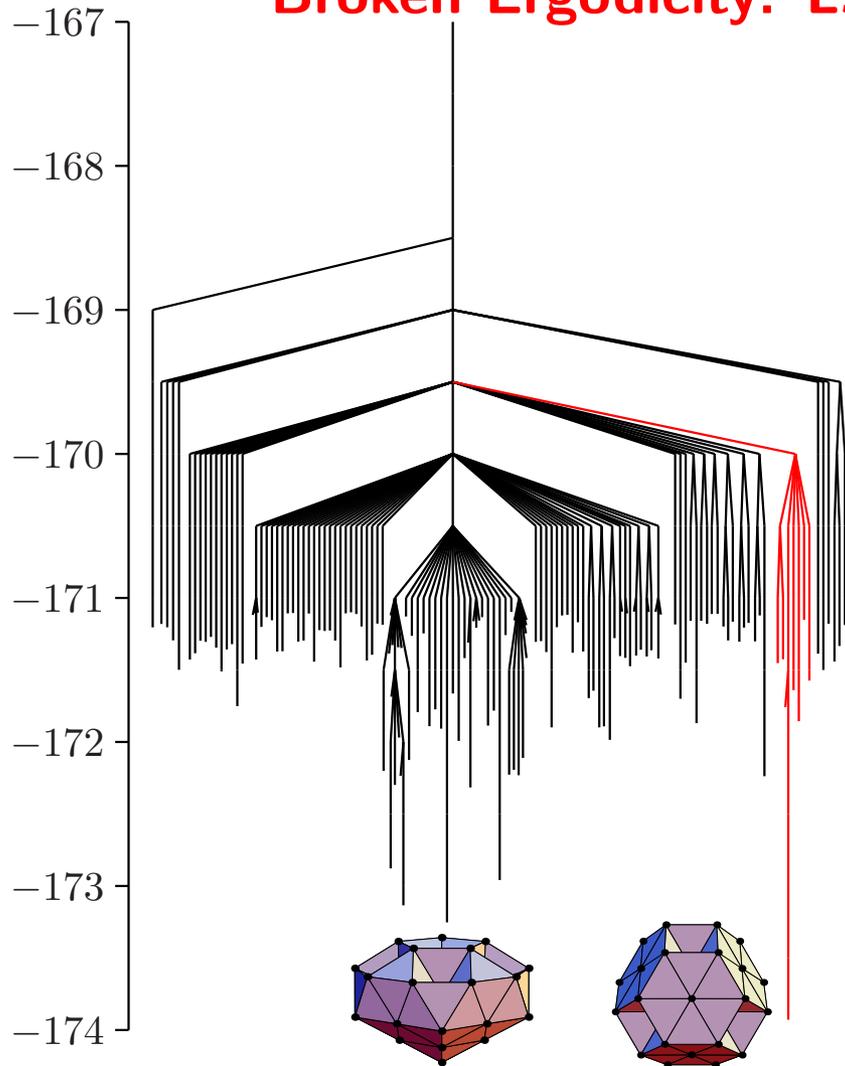
For  $C_{60}$  the **long branches** correspond to **high barriers**  $\sim 4$  eV (left). **Relaxation** from high energy fullerenes to the icosahedral global minimum occurs on a time scale of **milliseconds** if the temperature is high enough (right).

# $(\text{H}_2\text{O})_{20}$ : A Nanodroplet



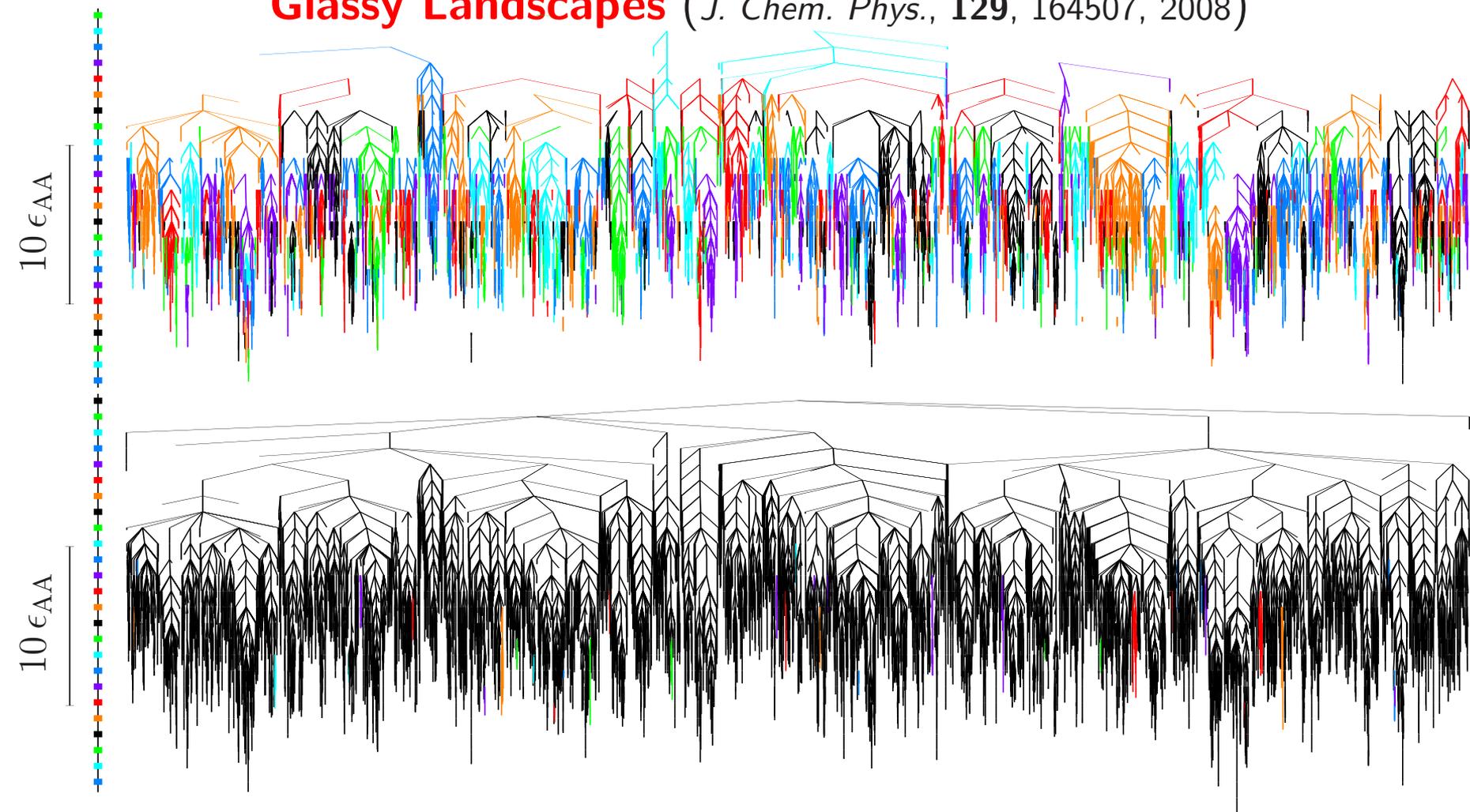
A disconnectivity graph for TIP4P  $(\text{H}_2\text{O})_{20}$  exhibits **hierarchical** structure: sets of minima are disconnected **together**. The interconversion rate between the **pentagonal prism** and **box-kite** morphologies at 40 K is around  $10^{-37} \text{ s}^{-1}$ .

## Broken Ergodicity: $\text{LJ}_{38}$ (*Phys. Rev. E*, **60**, 3701, 1999)



$\text{LJ}_{38}$  exhibits a **double funnel** due to competition between icosahedral and truncated **octahedral** morphologies. The interconversion rate for  $\text{Ar}_{38}$  is calculated as  $55 \text{ s}^{-1}$  at 14 K where a **solid-solid** transition occurs.

# Glassy Landscapes (*J. Chem. Phys.*, 129, 164507, 2008)



Disconnectivity graphs for **BLJ<sub>60</sub>** including only transition states for **noncage-breaking** (top) and **cage-breaking** (bottom) paths. Changes in colour indicate **disjoint** sets of minima. Cage-breaking transitions, defined by **two** nearest-neighbour changes, define a higher order **metabasin** structure.

# Basin-Hopping Global Optimisation

Transform the surface into basins of attraction:  $\tilde{E}(\mathbf{X}) = \min E(\mathbf{X})$ .

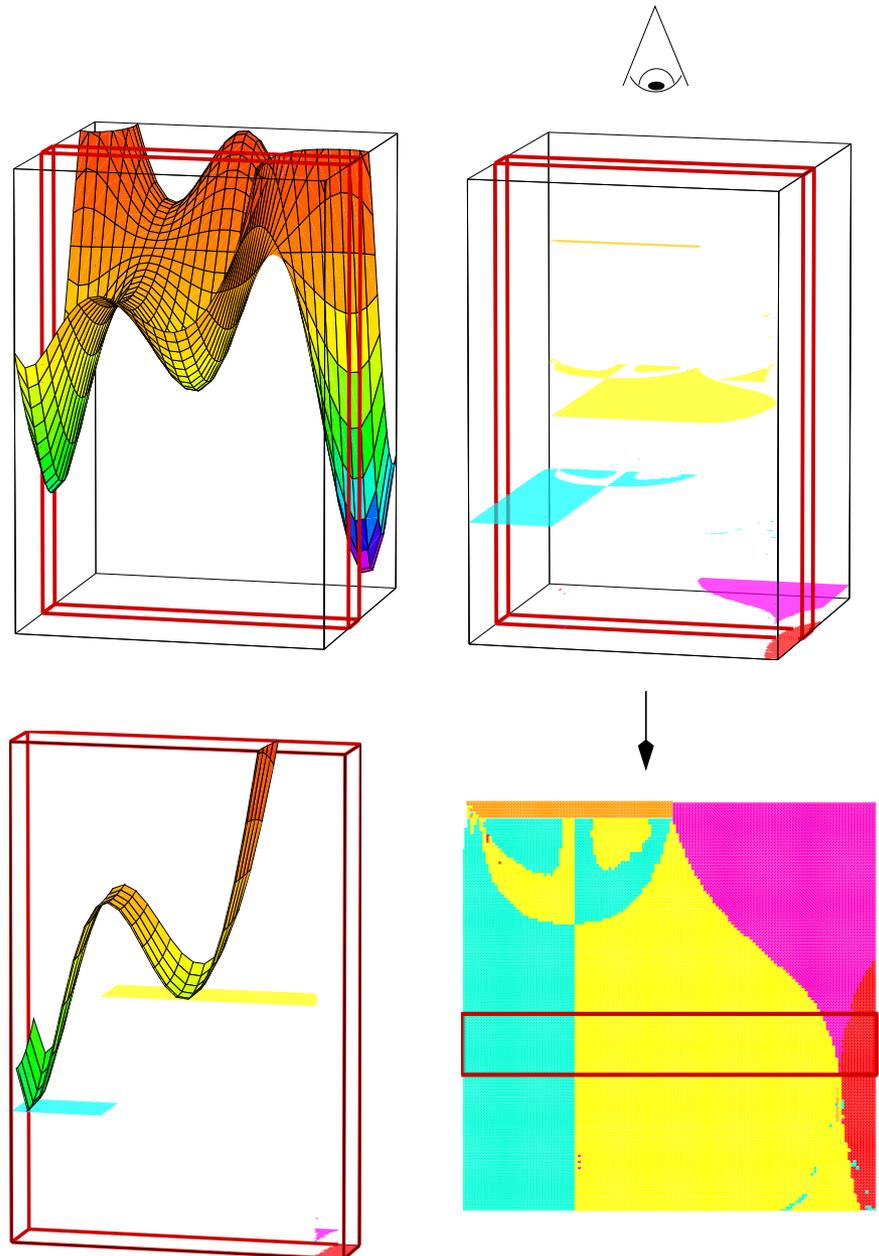
Exploring  $\tilde{E}(\mathbf{X})$  using Monte Carlo steps, following Li and Scheraga (*PNAS*, **84**, 6611, 1987), produced the lowest known minima for all  $\text{LJ}_n$  with  $n \leq 110$  (*J. Phys. Chem. A*, **101**, 5111, 1997).

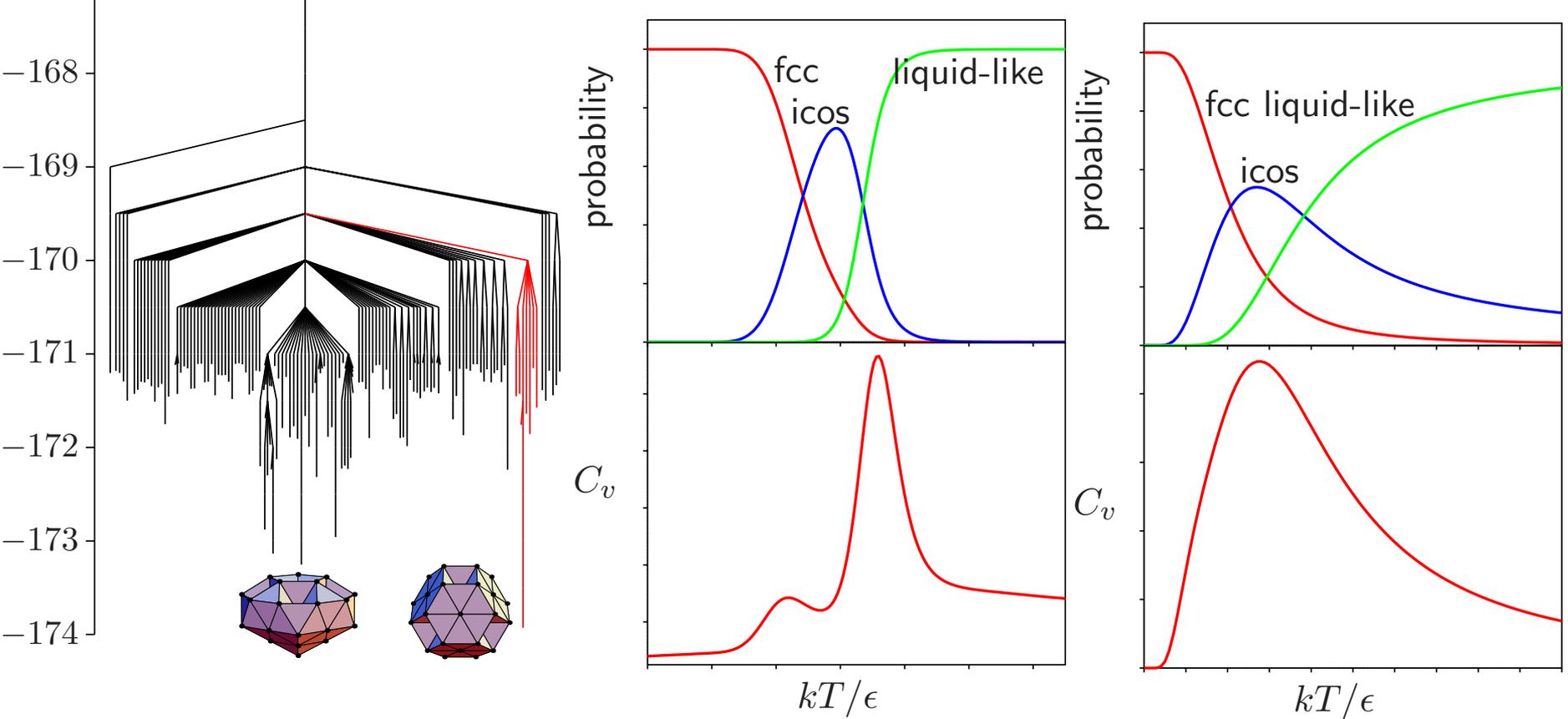
The transformation modifies both kinetics and thermodynamics.

Fortran code (**GMIN**)

and database of structures:

<http://www-wales.ch.cam.ac.uk>.

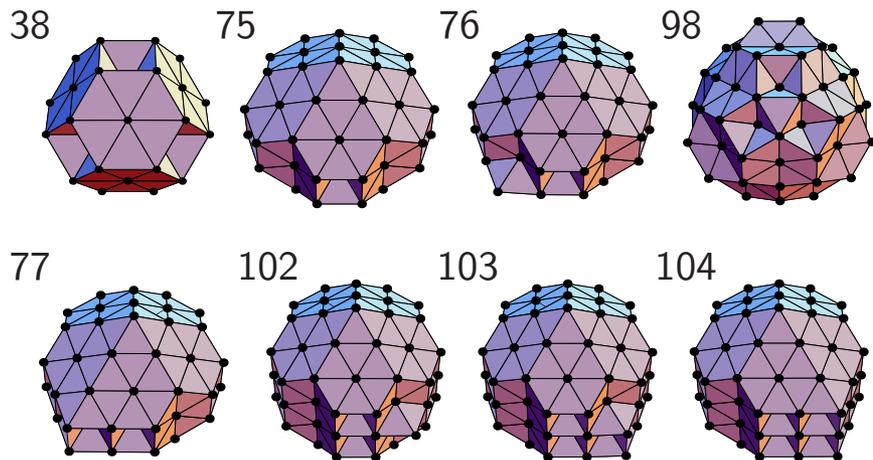




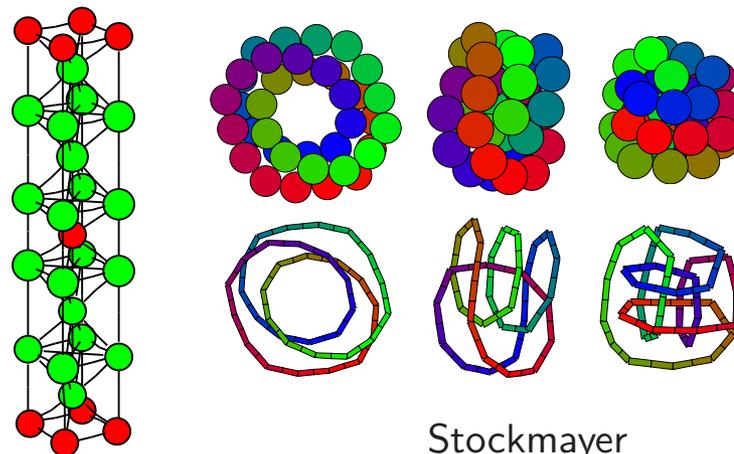
Basin-hopping succeeds for **multi-funnel** surfaces because the transitions are **broadened** (*Phys. Rev. Lett.*, **80**, 1357, 1998), giving a larger overlap in the probability distributions of different morphologies (right panels).

**GMIN** includes treatment of **permutational isomerism**, **reseeding** via **taboo** lists based on distance criteria, and exploitation of approximate **symmetry**.

# Examples from the Cambridge Cluster Database Archives

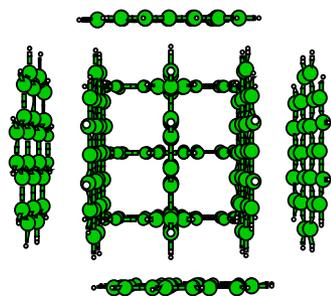


Non-icosahedral Lennard-Jones Clusters

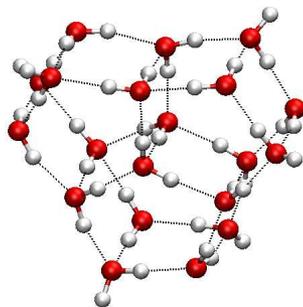


Binary LJ unit cell

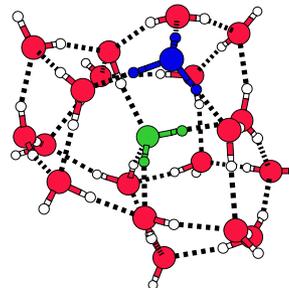
Stockmayer



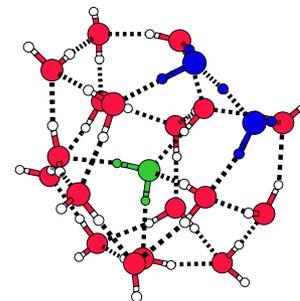
corronene<sub>10</sub>



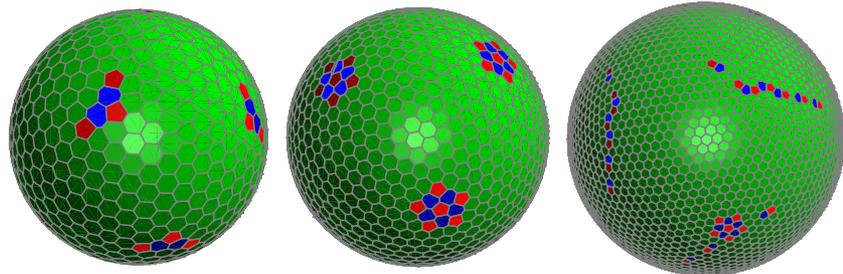
(H<sub>2</sub>O)<sub>20</sub>



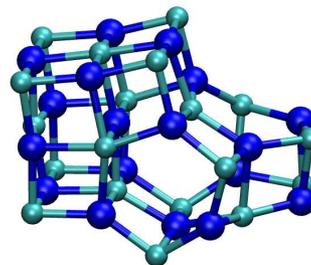
H<sub>3</sub>O<sup>+</sup>(H<sub>2</sub>O)<sub>20</sub> Eigen



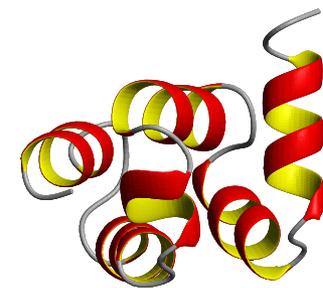
H<sub>3</sub>O<sup>+</sup>(H<sub>2</sub>O)<sub>20</sub> Zundel



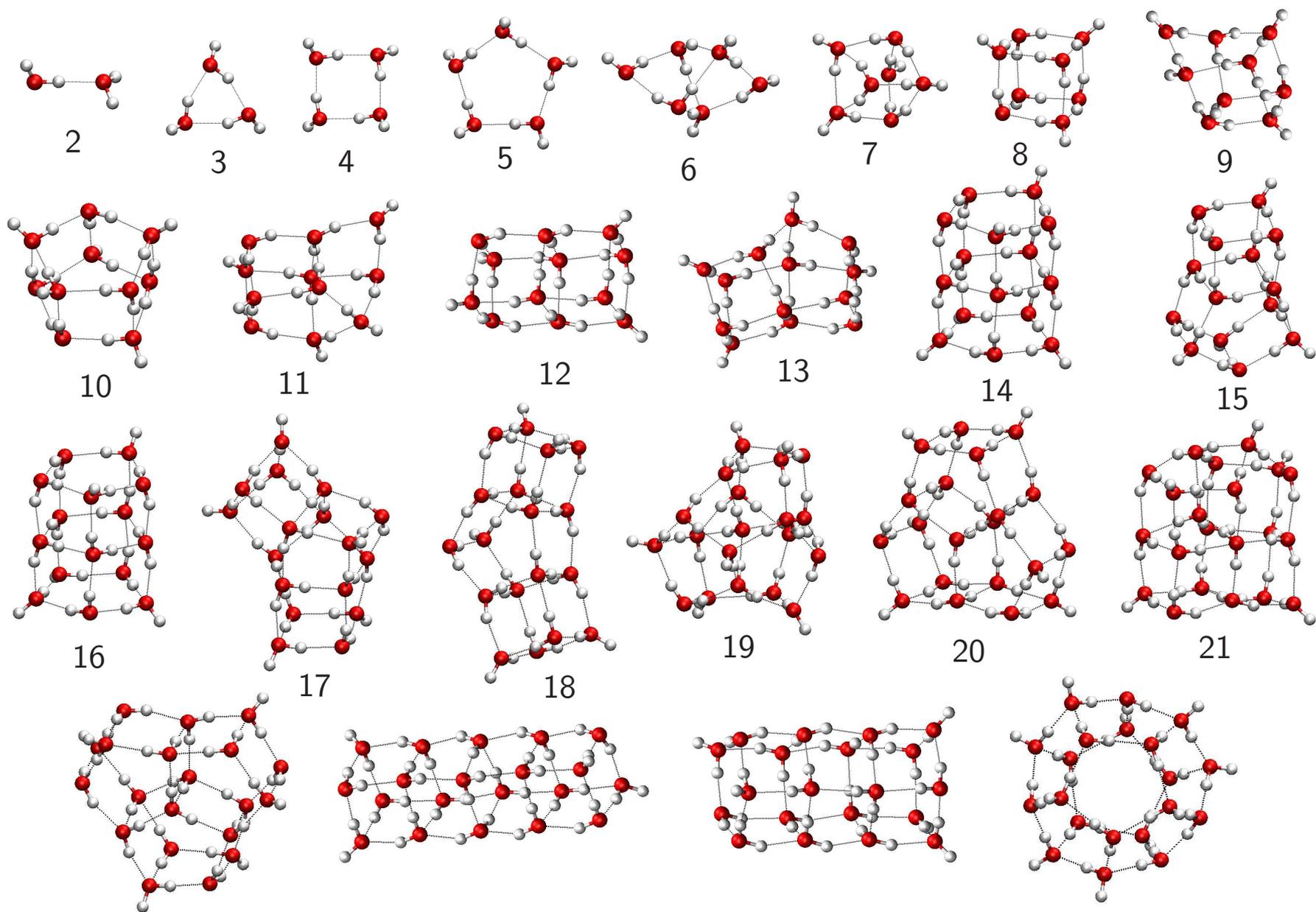
Thomson problem



(NaCl)<sub>18</sub>Na<sup>+</sup>

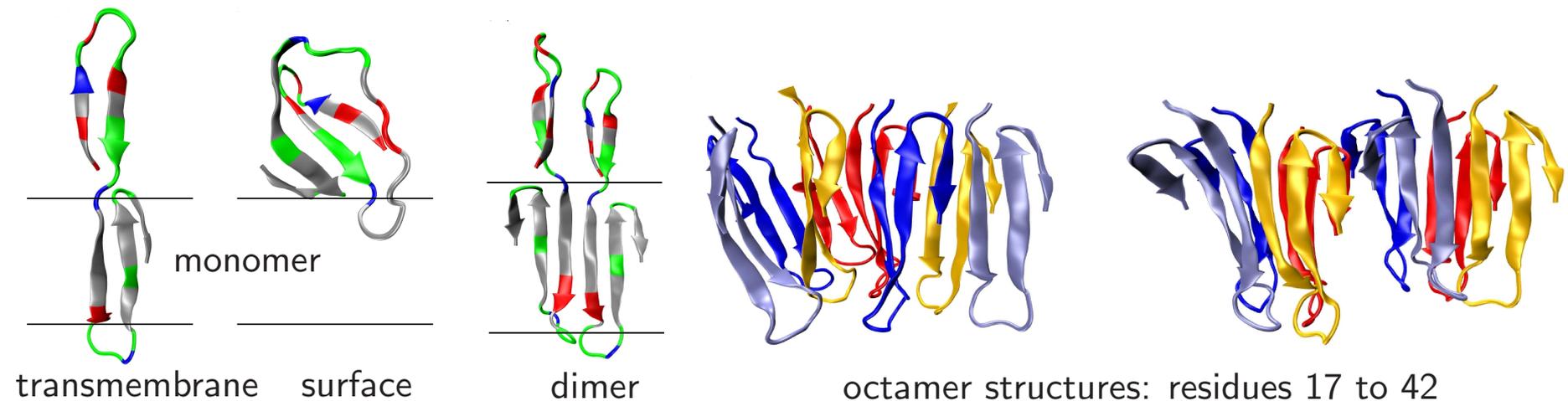


HYPA/FBP11



Global minima for TIP4P  $(\text{H}_2\text{O})_N$  and four morphologies of  $(\text{H}_2\text{O})_{20}$ .

## Transmembrane Oligomers of $A\beta_{1-42}$ (JACS, 132, 13300, 2010)



$A\beta_{1-42}$  oligomers up to the **octamer** have been investigated using CHARMM19 with the implicit membrane potential **IMM1**.

A **basin-hopping/parallel tempering** scheme with exchanges between basin-hopping runs at different temperatures was used, together with **intra-** and **inter**molecular coordinate moves for the peptides.

The most favourable monomer **transmembrane** structure has residues **17** to **42** inserted in the membrane. The most stable **octamer** structures can be viewed as displaced **tetramers** composed of two or three  $\beta$ -sheets.

## An Extended Superposition Approach (CPL, 466, 105, 2008)

The total partition function as a function of order parameter  $a$  is constructed as a **superposition** of contributions from local **minima**,  $Z_i(a, T)$ , and configurations taken from the **pathways** that connect them,  $Z_r^\dagger(a, T)$ :

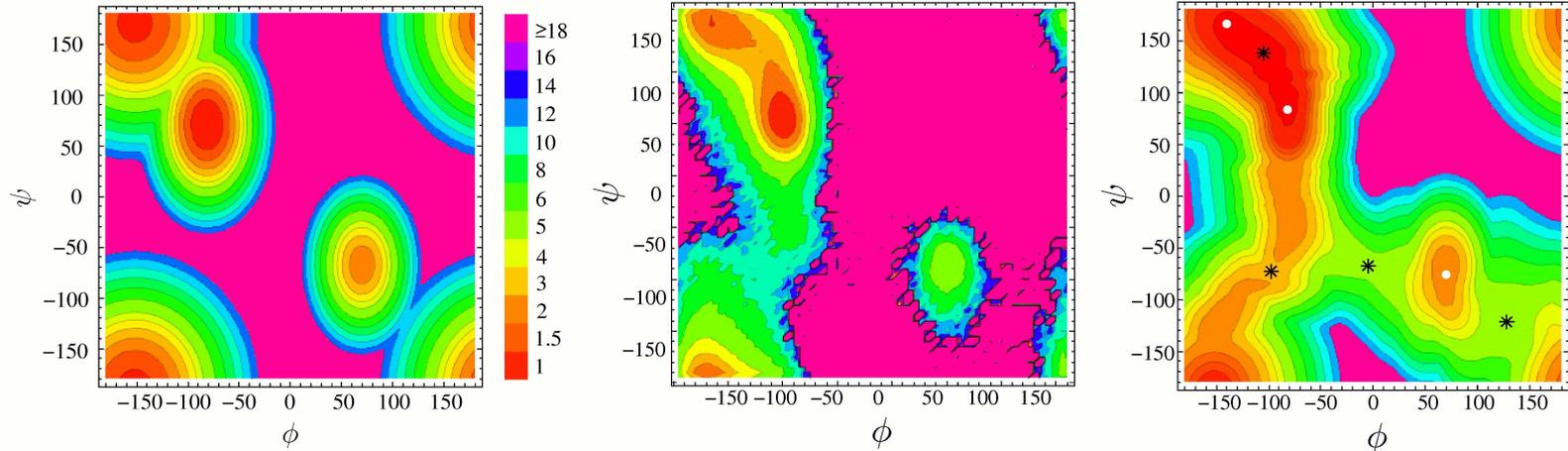
$$Z_i(a, T) = \left( \frac{kT}{h\bar{\nu}_i} \right)^\kappa \frac{\exp(-V_i/kT)}{\sqrt{2\pi kT A_i}} \exp \left[ -\frac{(a - a_i)^2}{2kT A_i} \right],$$
$$Z_r^\dagger(a, T) = \left( \frac{kT}{h} \right)^\kappa \frac{\delta_r \exp(-V_r^\dagger/kT)}{(\bar{\nu}_r^\dagger)^{\kappa-1} 2\pi kT \sqrt{A_r^\dagger}} \exp \left[ -\frac{(a - a_r^\dagger)^2}{2kT A_r^\dagger} \right],$$

where  $\bar{\nu}_i$  is the geometric mean of the normal mode **frequencies**,  $\nu_{i,\gamma}$ ,  $V_i$  and  $a_i$  are the **potential energy** and order parameter for minimum  $i$ ,  $\kappa = 3N - 6$ ,  $\delta_r$  is a **displacement**,  $\dagger$  labels **transition states**, and

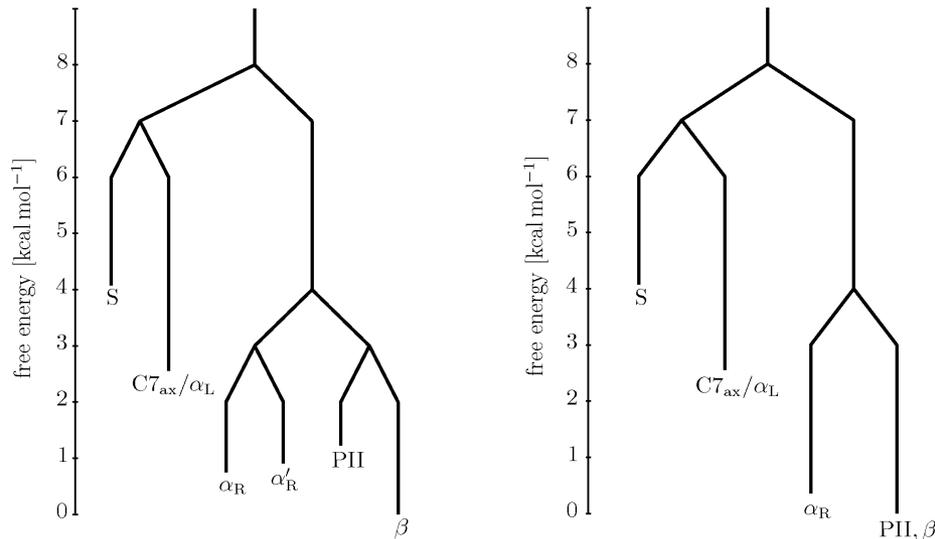
$$A_i = \sum_{\gamma=1}^{\kappa} \left[ \left. \frac{\partial a(\mathbf{q}_i)}{\partial q_{i,\gamma}} \right|_{\mathbf{q}_i=\mathbf{0}} \frac{1}{2\pi\nu_{i,\gamma}} \right]^2.$$

The method can be extended for **projections** onto **additional** order parameters.

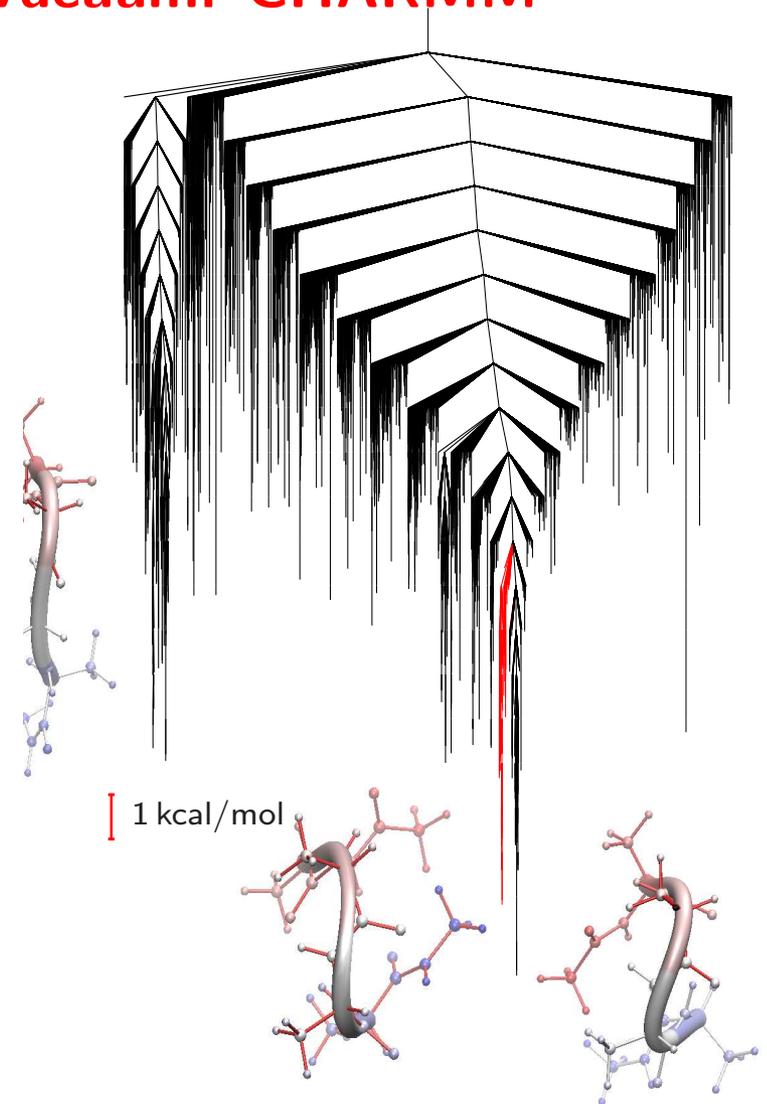
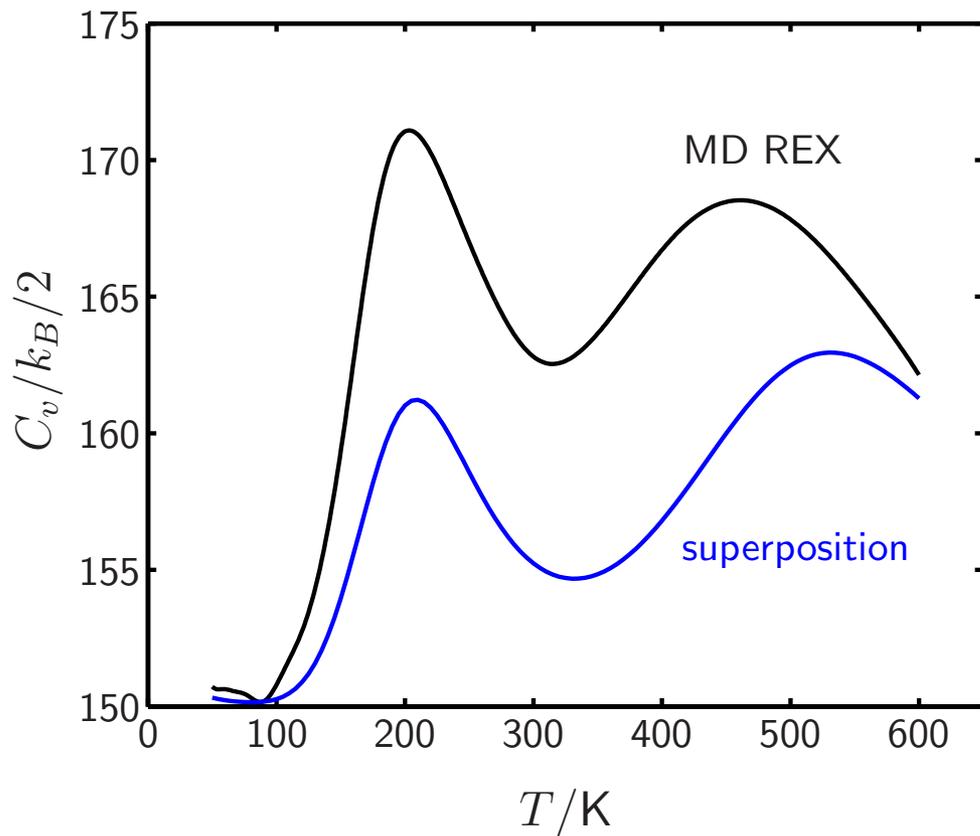
Free energy surfaces for **alanine dipeptide** (CHARMM22/vacuum) from **superposition, replica exchange, and reaction path Hamiltonian** superposition:



The effect of **regrouping** for a barrier threshold of 3 kcal/mol is shown below for AMBER(ff03)/GB<sup>OCB</sup>; this approach avoids the ‘**filling in**’ problem.

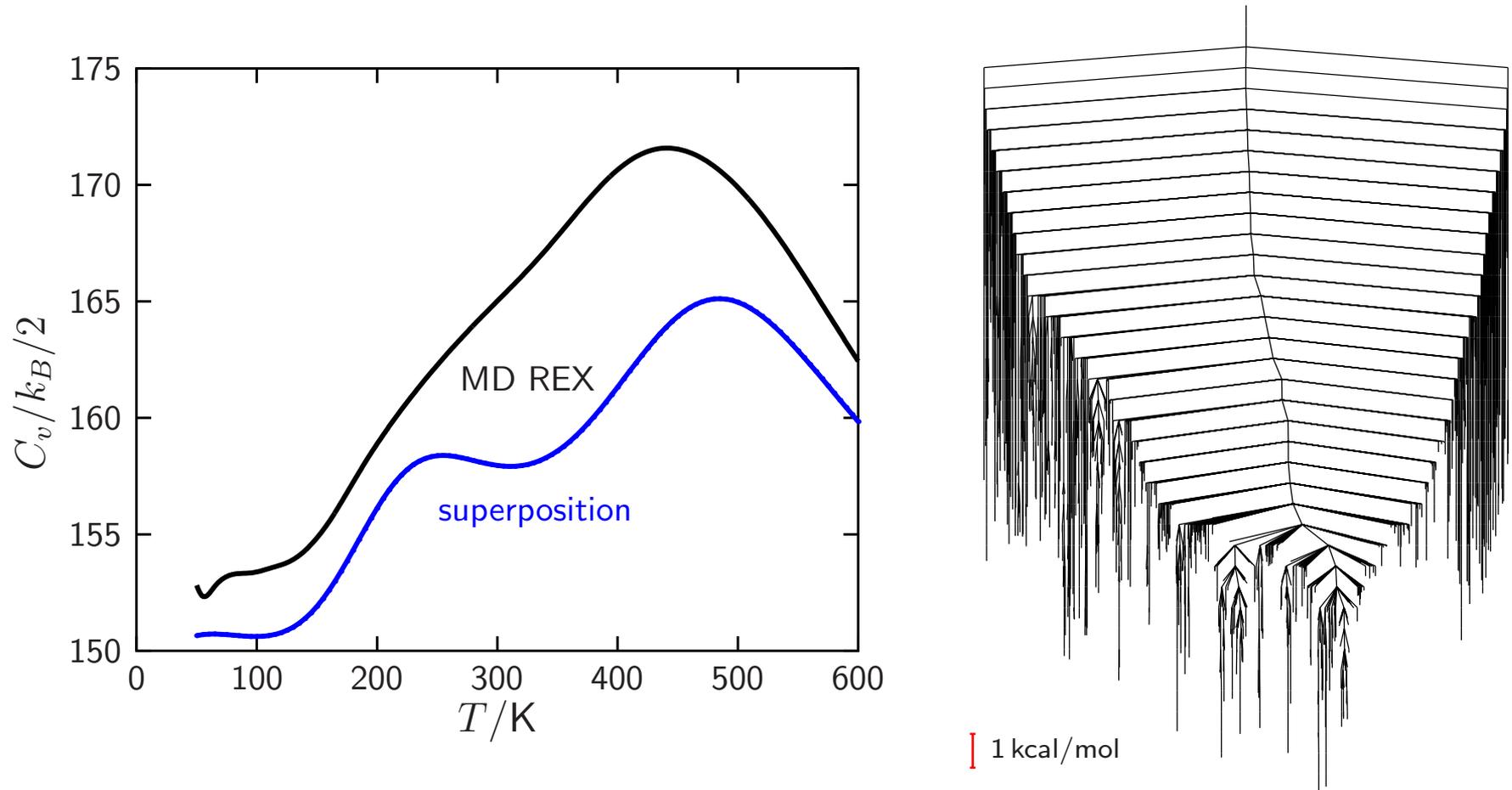


# Thermodynamics for Ala<sub>4</sub> in Vacuum: CHARMM



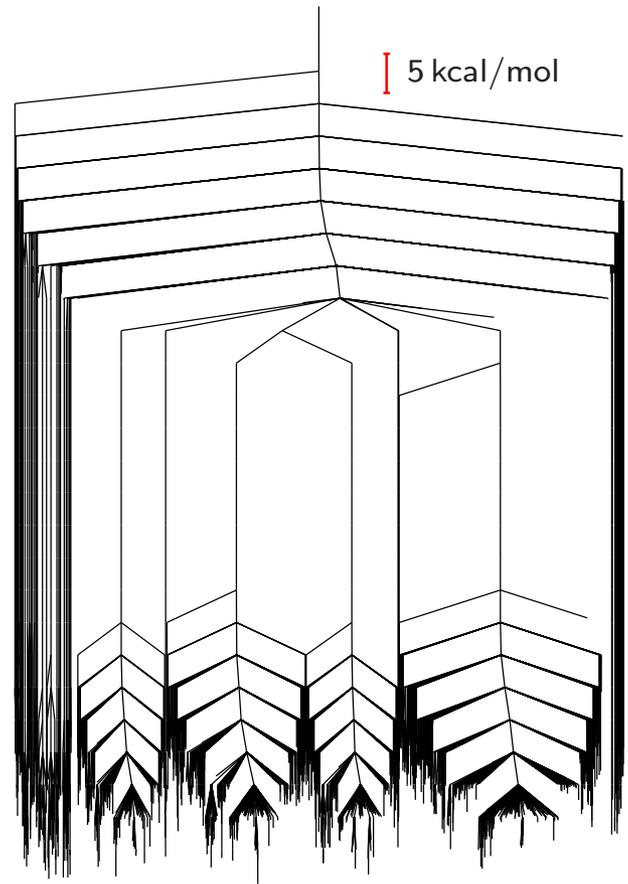
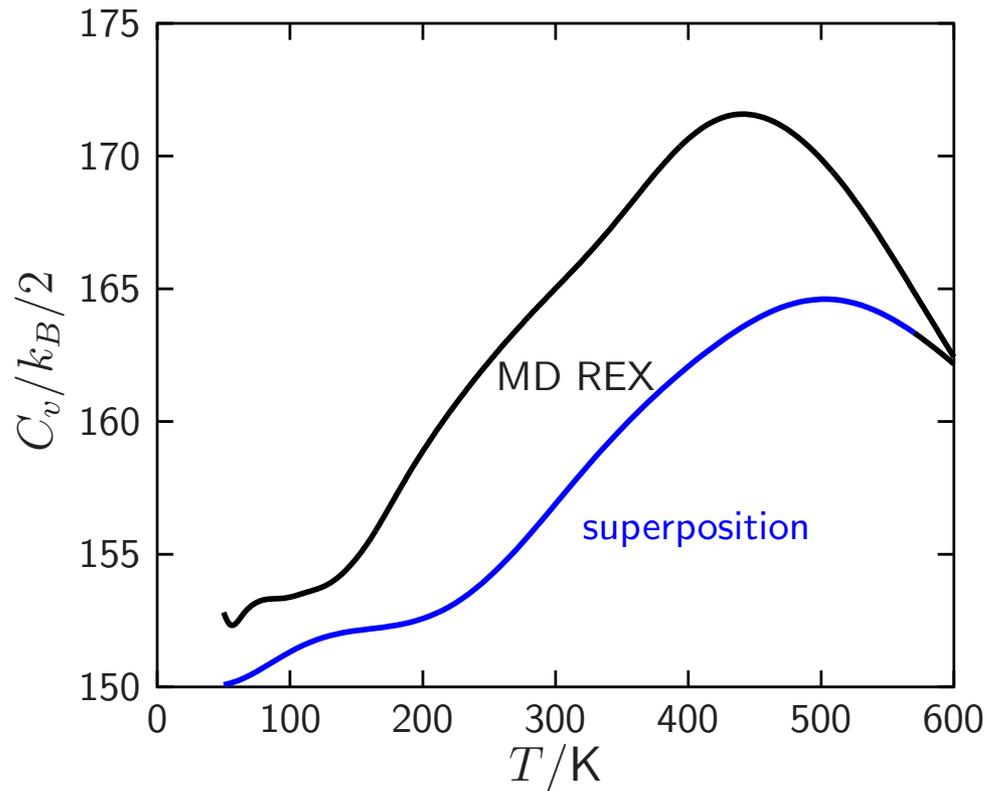
Ala<sub>4</sub> in vacuum (charmm27) has a **low** temperature  $C_v$  **peak**, corresponding to the hundred or so lowest minima in the **disconnectivity graph**. The **high** temperature peak corresponds to the finite system analogue of **melting**.

# Thermodynamics for Ala<sub>4</sub> in Vacuum: AMBER



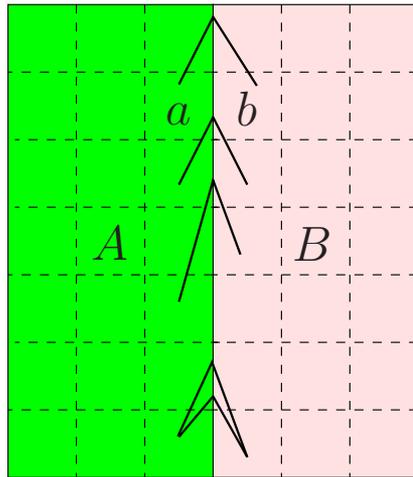
Ala<sub>4</sub> in vacuum (**amber99sb**) appears to be similar to CHARMM.

# Thermodynamics for Ala<sub>4</sub> in Vacuum: AMBER

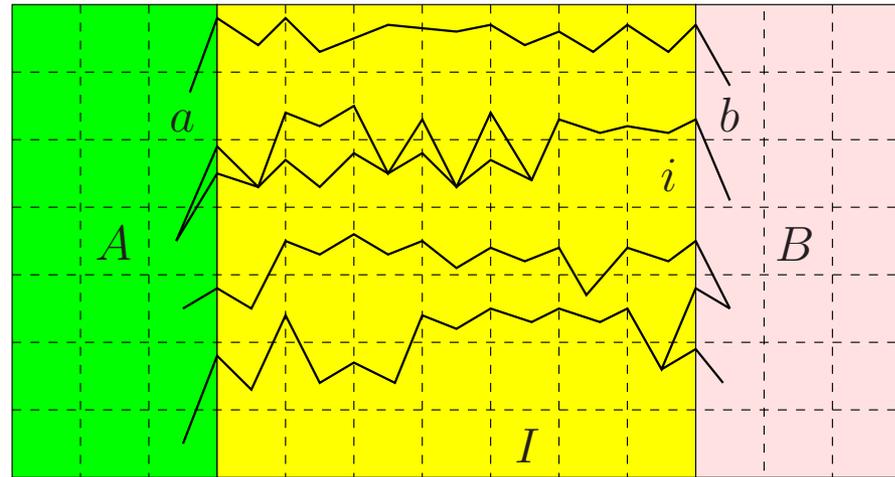


In fact, the **global minimum** for this potential has a mixture of **L** and **D** amino acids. The landscape separates into regions with different **L/D** composition, separated by barriers of order **90 kcal/mol**.

# Discrete Path Sampling (*Mol. Phys.*, **100**, 3285, 2002; **102**, 891, 2004).



no intervening minima



$$\frac{p_a(t)}{p_{a'}(t)} = \frac{p_a^{\text{eq}}}{p_{a'}^{\text{eq}}} \quad \dot{p}_i(t) = 0 \quad \frac{p_b(t)}{p_{b'}(t)} = \frac{p_b^{\text{eq}}}{p_{b'}^{\text{eq}}}$$

Phenomenological  $A \leftrightarrow B$  rate constants can be formulated as sums over **discrete paths**, defined as sequences of local minima and the transition states that link them, weighted by equilibrium occupation probabilities,  $p_b^{\text{eq}}$ :

$$k_{AB}^{\text{SS}} = \frac{1}{p_B^{\text{eq}}} \sum_{a \leftarrow b} P_{ai_1} P_{i_1 i_2} \cdots P_{i_{n-1} i_n} P_{i_n b} \tau_b^{-1} p_b^{\text{eq}} = \frac{1}{p_B^{\text{eq}}} \sum_{b \in B} \frac{C_b^A p_b^{\text{eq}}}{\tau_b},$$

where  $P_{\alpha\beta}$  is a **branching probability** and  $C_b^A$  is the **committor** probability that the system will visit an  $A$  minimum **before** it returns to the  $B$  region.

**Discrete path sampling** builds connected databases of stationary points that are relevant to global **kinetics** (*Int. Rev. Phys. Chem.*, **25**, 237, 2006).

The paths that make the **largest** contributions to  $k_{AB}^{SS}$  can be extracted using the **Dijkstra** or **recursive enumeration** algorithms, using edge weights  $-\ln P_{\alpha\beta}$  (*J. Chem. Phys.*, **121**, 1080, 2004; *J. Phys. Chem. B*, **112**, 8760, 2008).

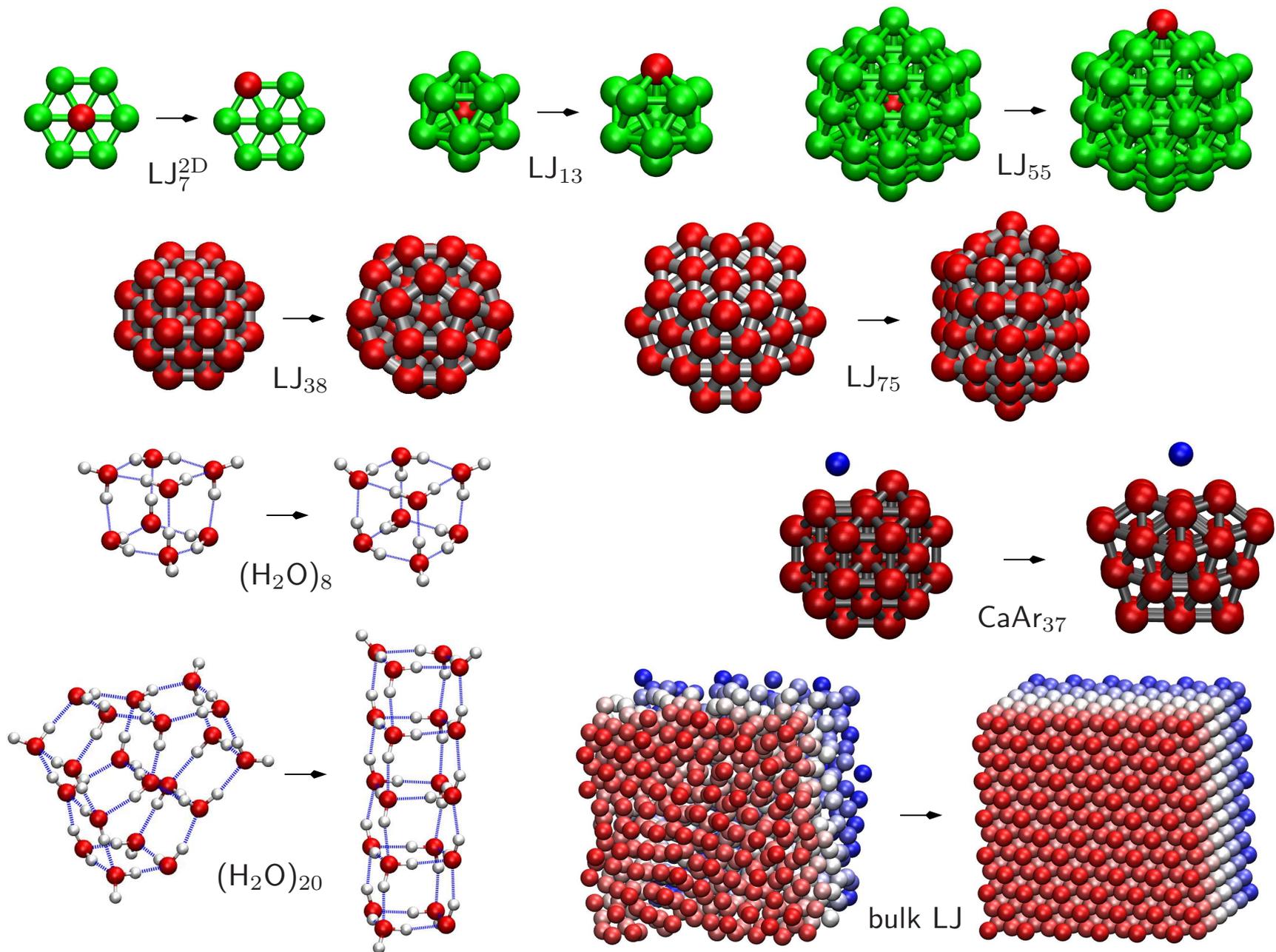
A **hierarchy** of expressions can be obtained for the rate constants:

$$k_{AB}^{SS} = \frac{1}{p_B^{\text{eq}}} \sum_{b \in B} \frac{C_b^A p_b^{\text{eq}}}{\tau_b}, \quad k_{AB}^{NSS} = \frac{1}{p_B^{\text{eq}}} \sum_{b \in B} \frac{C_b^A p_b^{\text{eq}}}{t_b}, \quad k_{AB} = \frac{1}{p_B^{\text{eq}}} \sum_{b \in B} \frac{p_b^{\text{eq}}}{\mathcal{T}_{Ab}}.$$

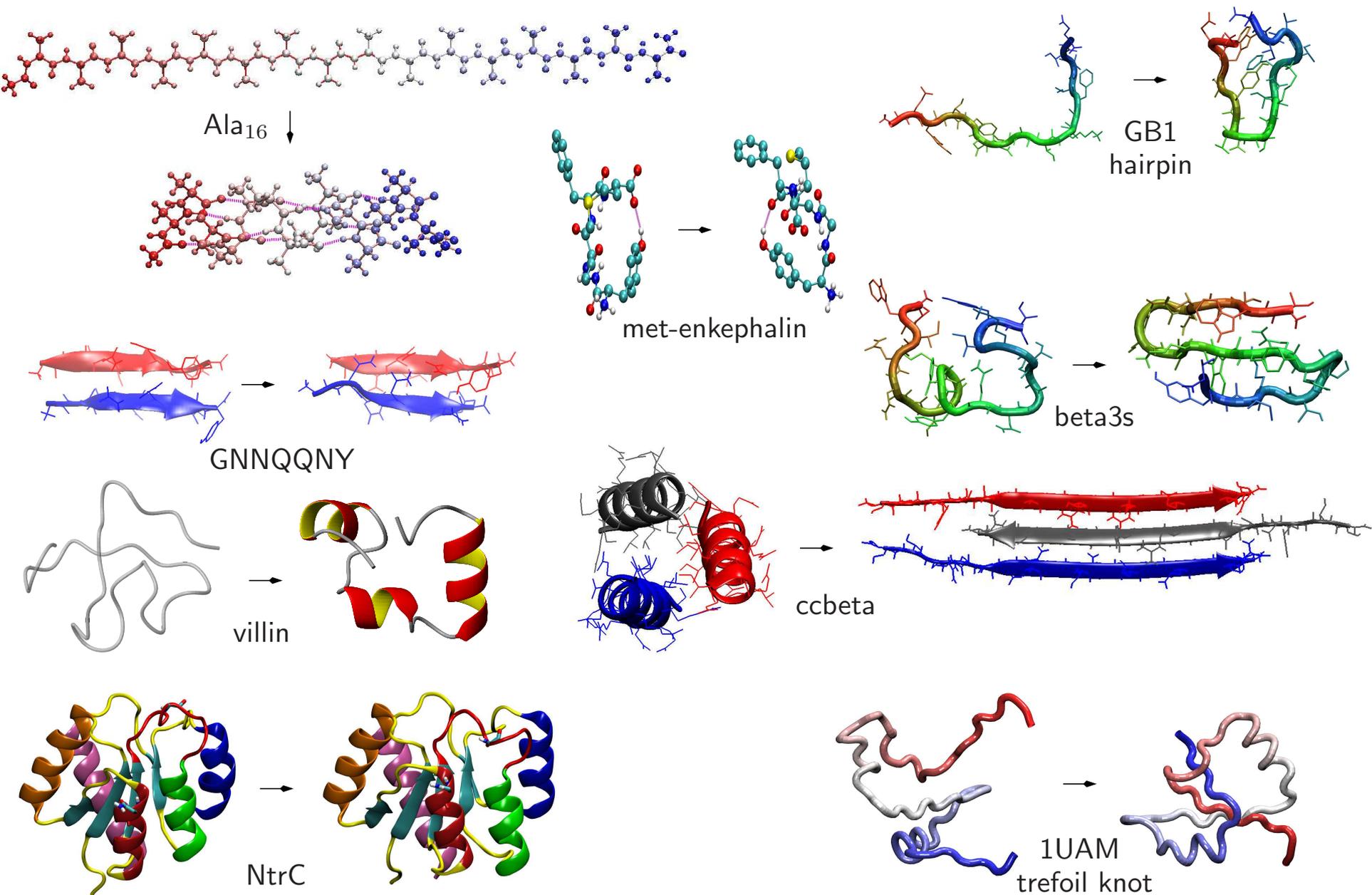
$\tau_b$ ,  $t_b$  and  $\mathcal{T}_{Ab}$  are the mean **waiting times** for a transition from  $b$  to an adjacent minimum, to any member of  $A \cup B$ , and to the  $A$  set, with  $\tau_b \leq t_b \leq \mathcal{T}_{Ab}$ .

$k_{AB}$  is formally **exact** within a **Markov** assumption for transitions between the states, which can be **regrouped**. Additional approximations come from **incomplete sampling**, and the **densities of states** and the **unimolecular rate theory** used to describe the **local** thermodynamics and kinetics.

# Discrete Path Sampling Examples I



# Discrete Path Sampling Examples II



## Simulating structural transitions by direct transition current sampling: The example of LJ<sub>38</sub>

Massimiliano Picciani,<sup>1,a)</sup> Manuel Athènes,<sup>1</sup> Jorge Kurchan,<sup>2</sup> and Julien Tailleur<sup>3</sup>

<sup>1</sup>*CEA, DEN, Service de Recherches de Métallurgie Physique, F-91191 Gif-sur-Yvette, France*

<sup>2</sup>*CNRS; ESPCI, 10 rue Vauquelin, UMR 7636 PMMH, 75005 Paris, France*

<sup>3</sup>*School of Physics of Astronomy, SUPA, University of Edinburgh, The King's Buildings, Mayfield Road, EH9 3JZ Edinburgh, United Kingdom*

(Received 2 March 2011; accepted 21 June 2011; published online 20 July 2011)

Another attempt to study the transitions between the two funnels of LJ<sub>38</sub> relies on the use of transition path sampling.<sup>33</sup> Because of the number of metastable states separating the two main basins, the traditional shooting and shifting algorithm failed here, despite previous success for smaller LJ clusters.<sup>39</sup> The authors thus developed a two-ended approach which manages to successfully locate reaction paths between the two basins: they started from a straight trial trajectory linking the two minima, and obtained convergence towards trajectories of energies similar to those obtained in the discrete path sampling approach.<sup>33</sup> Although the authors point out the lack of ergodicity in the sampling within their approach and the sensitivity on the “discretization” of the trajectories, this is nevertheless a progress and the main drawback remains the high computational cost (the work needed 10<sup>5</sup> h of central processing unit (cpu) time) to obtain such converged trajectories. In contrast, the simulations we present below required less than 10<sup>2</sup> h of cpu time.

## Geometry Optimisation

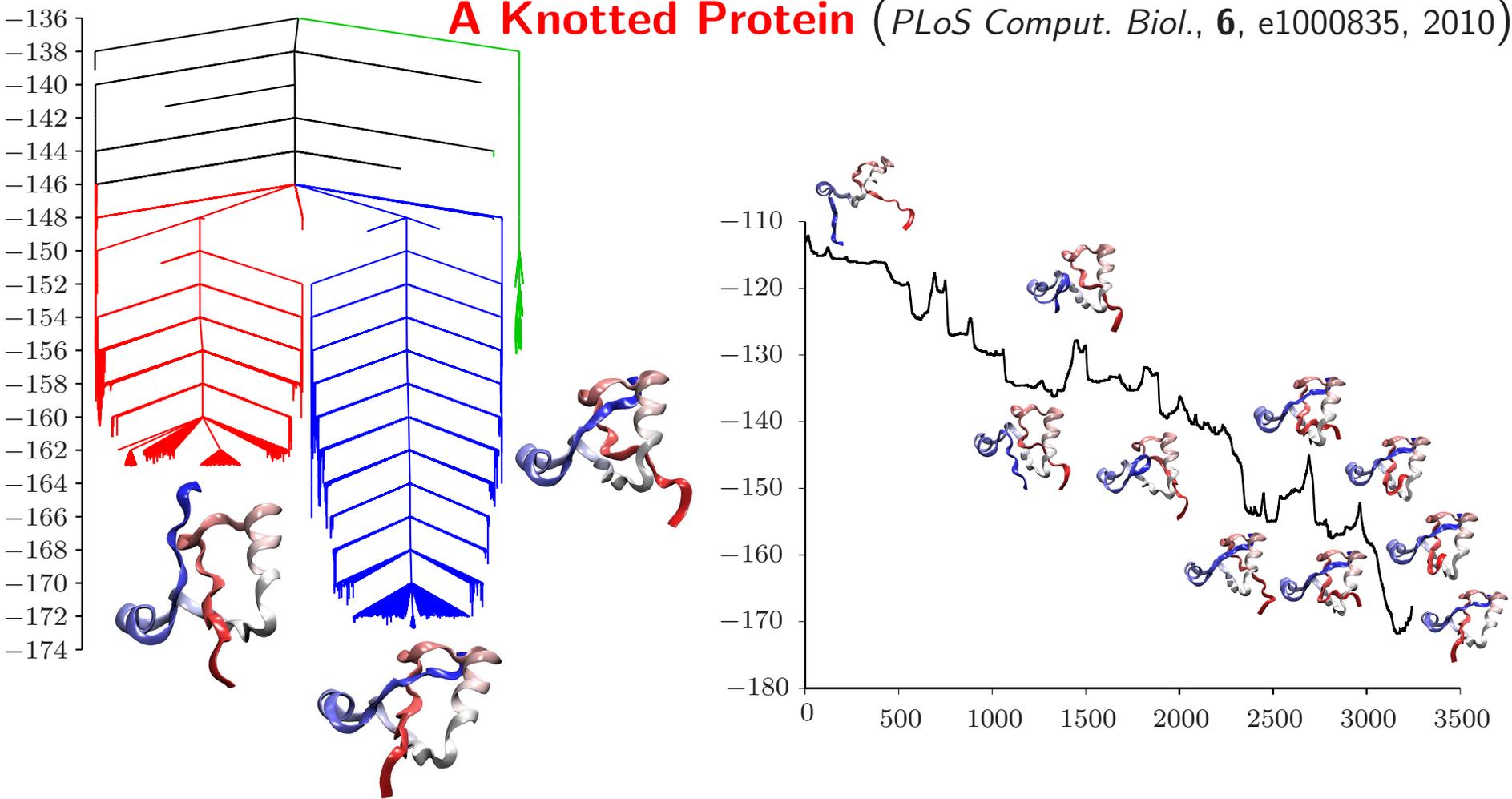
**Minimisation:** Nocedal's algorithm, **LBFGS**, with line searches removed.

**Transition states:** single-ended searches use **hybrid eigenvector-following** ('Defect Migration in Crystalline Silicon', *Phys. Rev. B*, **59**, 3969, 1999); double-ended searches use the **doubly-nudged** elastic band approach (*J. Chem. Phys.*, **120**, 2082, 2004; cf. Henkelman and Jónsson).

The **GMIN** (global optimisation), **OPTIM** (transition states and pathways) and **PATHSAMPLE** (discrete path sampling) programs are available under the **Gnu** General Public License. Access to the svn source can be arranged for **developers**. Current **svn** tarball image: <http://www-wales.ch.cam.ac.uk>.

Interfaces to many **electronic structure** codes are included. Example: **split interstitial migration** in crystalline silicon (*Chem. Phys. Lett.*, **341**, 185, 2001).

## A Knotted Protein (*PLoS Comput. Biol.*, **6**, e1000835, 2010)



The tRNA methyltransferase protein 1UAM contains a deep **trefoil knot**.

The folding pathway has two **slipknot**-type steps for a truncated (residues 78–135) **Gō model** representation using an **associated memory Hamiltonian**.

The estimated rate constant is between  $0.04$  and  $0.4 \text{ s}^{-1}$ .

## Angle-Axis Coordinates for Rigid Bodies (PCCP, 11, 1970, 2009)

Rodrigues' formula for the rotation matrix  $\mathbf{R}$  corresponding to a rotation of magnitude  $\theta = (p_1^2 + p_2^2 + p_3^2)^{1/2}$  around the axis defined by  $\mathbf{p}$  is

$$\mathbf{R} = \mathbf{I} + (1 - \cos \theta) \tilde{\mathbf{p}}\tilde{\mathbf{p}} + \sin \theta \tilde{\mathbf{p}},$$

where  $\mathbf{I}$  is the **identity** matrix, and  $\tilde{\mathbf{p}}$  is the skew-symmetric matrix

$$\tilde{\mathbf{p}} = \frac{1}{\theta} \begin{pmatrix} 0 & -p_3 & p_2 \\ p_3 & 0 & -p_1 \\ -p_2 & p_1 & 0 \end{pmatrix}.$$

The product of  $\tilde{\mathbf{p}}$  and any vector  $\mathbf{v}$  returns the **cross product**:  $\tilde{\mathbf{p}}\mathbf{v} = \hat{\mathbf{p}} \times \mathbf{v}$ .

All terms involving **rigid-body** angle-axis coordinates can be obtained by the action of the rotation matrix and its derivatives, whose forms are programmed in **system-independent** subroutines.

The angle-axis representation is free of **singularities** and **constraints**.

1st derivatives:  $\mathbf{R}_k \equiv \frac{\partial \mathbf{R}}{\partial p_k} = \frac{p_k \sin \theta}{\theta} \tilde{\mathbf{p}}^2 + (1 - \cos \theta)(\tilde{\mathbf{p}}_k \tilde{\mathbf{p}} + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_k) + \frac{p_k \cos \theta}{\theta} \tilde{\mathbf{p}} + \sin \theta \tilde{\mathbf{p}}_k$ , with  $\tilde{\mathbf{p}}_1 = \frac{1}{\theta^3} \begin{pmatrix} 0 & p_1 p_3 & -p_1 p_2 \\ -p_1 p_3 & 0 & p_1^2 - \theta^2 \\ p_1 p_2 & \theta^2 - p_1^2 & 0 \end{pmatrix}$

2nd derivatives:  $\mathbf{R}_{kk} \equiv \frac{\partial^2 \mathbf{R}}{\partial p_k^2} = \frac{2p_k \sin \theta}{\theta} (\tilde{\mathbf{p}}_k \tilde{\mathbf{p}} + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_k) + \left( \frac{p_k^2 \cos \theta}{\theta^2} - \frac{p_k^2 \sin \theta}{\theta^3} + \frac{\sin \theta}{\theta} \right) \tilde{\mathbf{p}}^2$   
 $+ (1 - \cos \theta)(2\tilde{\mathbf{p}}_k^2 + \tilde{\mathbf{p}}_{kk} \tilde{\mathbf{p}} + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_{kk}) + \left( -\frac{p_k^2 \sin \theta}{\theta^2} - \frac{p_k^2 \cos \theta}{\theta^3} + \frac{\cos \theta}{\theta} \right) \tilde{\mathbf{p}} + \frac{2p_k \cos \theta}{\theta} \tilde{\mathbf{p}}_k + \sin \theta \tilde{\mathbf{p}}_{kk}$ ,

and  $\mathbf{R}_{kl} \equiv \frac{\partial^2 \mathbf{R}}{\partial p_k \partial p_l} = \frac{p_k \sin \theta}{\theta} (\tilde{\mathbf{p}}_l \tilde{\mathbf{p}} + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_l) + \left( \frac{p_k p_l \cos \theta}{\theta^2} - \frac{p_k p_l \sin \theta}{\theta^3} \right) \tilde{\mathbf{p}}^2 + \frac{p_l \sin \theta}{\theta} (\tilde{\mathbf{p}}_k \tilde{\mathbf{p}} + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_k)$   
 $+ (1 - \cos \theta)(\tilde{\mathbf{p}}_{kl} \tilde{\mathbf{p}} + \tilde{\mathbf{p}}_k \tilde{\mathbf{p}}_l + \tilde{\mathbf{p}}_l \tilde{\mathbf{p}}_k + \tilde{\mathbf{p}} \tilde{\mathbf{p}}_{kl}) - \left( \frac{p_k p_l \sin \theta}{\theta^2} + \frac{p_k p_l \cos \theta}{\theta^3} \right) \tilde{\mathbf{p}} + \frac{p_k \cos \theta}{\theta} \tilde{\mathbf{p}}_l + \frac{p_l \cos \theta}{\theta} \tilde{\mathbf{p}}_k + \sin \theta \tilde{\mathbf{p}}_{kl}$ .

Denote positions in the **body-fixed** frame by superscript 0. For rigid bodies  $I$  and  $J$  with sites  $i$  and  $j$  defining site-site **isotropic** potentials  $U_{ij}^{IJ}$  the **potential energy** is

$$U = \sum_I \sum_{J < I} \sum_{i \in I} \sum_{j \in J} f_{ij}(r_{ij}), \quad \text{where} \quad r_{ij} = |\mathbf{r}_{ij}| = |\mathbf{r}_i - \mathbf{r}_j| \quad \text{and} \quad f_{ij} \equiv U_{ij}^{IJ} \quad \text{so that}$$

$$\frac{\partial U}{\partial \zeta} = \sum_{J \neq I} \sum_{i \in I} \sum_{j \in J} f'_{ij}(r_{ij}) \frac{\partial r_{ij}}{\partial \zeta}, \quad \text{where} \quad f'_{ij} = \frac{df_{ij}(r_{ij})}{dr_{ij}}, \quad \frac{\partial r_{ij}}{\partial \mathbf{r}^I} = \hat{\mathbf{r}}_{ij}, \quad \frac{\partial r_{ij}}{\partial p_k^I} = \hat{\mathbf{r}}_{ij} \cdot \frac{\partial \mathbf{r}_{ij}}{\partial p_k^I} = \hat{\mathbf{r}}_{ij} \cdot (\mathbf{R}_k^I \mathbf{r}_i^0), \quad \mathbf{r}_{ij} = \mathbf{r}^I + \mathbf{R}^I \mathbf{r}_i^0 - \mathbf{r}^J - \mathbf{R}^J \mathbf{r}_j^0.$$

$$\frac{\partial^2 U_{ij}^{IJ}}{\partial r_k^I \partial r_l^J} = f_2(r_{ij}) r_{ij,k} r_{ij,l} \epsilon_{IJ} + f_1(r_{ij}) \delta_{kl} \epsilon_{IJ},$$

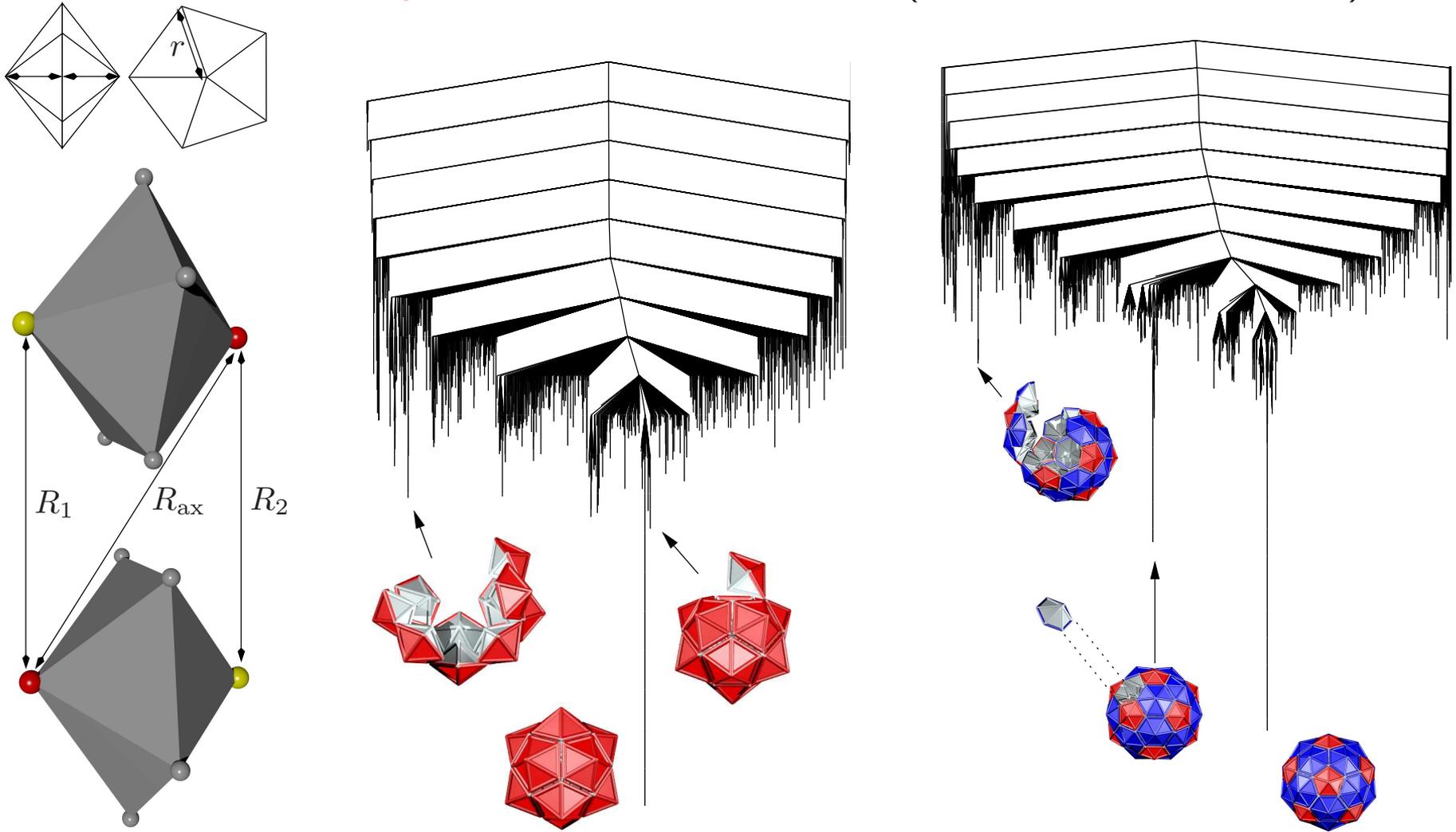
$$\frac{\partial^2 U_{ij}^{IJ}}{\partial p_k^I \partial p_l^J} = f_2(r_{ij}) (\mathbf{r}_{ij} \cdot \mathbf{R}_k^I \mathbf{r}_i^0) (\mathbf{r}_{ij} \cdot \mathbf{R}_l^J \mathbf{r}_j^0) \delta_{IJ} - f_2(r_{ij}) (\mathbf{r}_{ij} \cdot \mathbf{R}_k^I \mathbf{r}_i^0) (\mathbf{r}_{ij} \cdot \mathbf{R}_l^J \mathbf{r}_j^0) (1 - \delta_{IJ}) + f_1(r_{ij}) (\mathbf{R}_k^I \mathbf{r}_i^0) \cdot (\mathbf{R}_l^J \mathbf{r}_j^0) \delta_{IJ}$$

$$- f_1(r_{ij}) (\mathbf{R}_k^I \mathbf{r}_i^0) \cdot (\mathbf{R}_l^J \mathbf{r}_j^0) (1 - \delta_{IJ}) + f_1(r_{ij}) (\mathbf{r}_{ij} \cdot \mathbf{R}_{kl}^I \mathbf{r}_i^0) \delta_{IJ},$$

$$\frac{\partial^2 U_{ij}^{IJ}}{\partial r_k^I \partial p_l^J} = f_2(r_{ij}) (\mathbf{r}_{ij} \cdot \mathbf{R}_l^J \mathbf{r}_j^0) r_{ij,k} \delta_{IJ} - f_2(r_{ij}) (\mathbf{r}_{ij} \cdot \mathbf{R}_l^J \mathbf{r}_j^0) r_{ij,k} (1 - \delta_{IJ}) + f_1(r_{ij}) [\mathbf{R}_k^I \mathbf{r}_i^0]_l \delta_{IJ} - f_1(r_{ij}) [\mathbf{R}_l^J \mathbf{r}_j^0]_l (1 - \delta_{IJ}).$$

where  $f_1(r_{ij}) = f'_{ij}(r_{ij})/r_{ij}$ ,  $f_2(r_{ij}) = f''_{ij}(r_{ij})/r_{ij}$ ,  $\epsilon_{IJ} = 1$  for  $I = J$  and  $\epsilon_{IJ} = -1$  for  $I \neq J$ , and  $\delta_{IJ}$  is the Kronecker delta.

# Self-Assembly of Icosahedral Shells (*PCCP*, 11, 2098-2104, 2009)

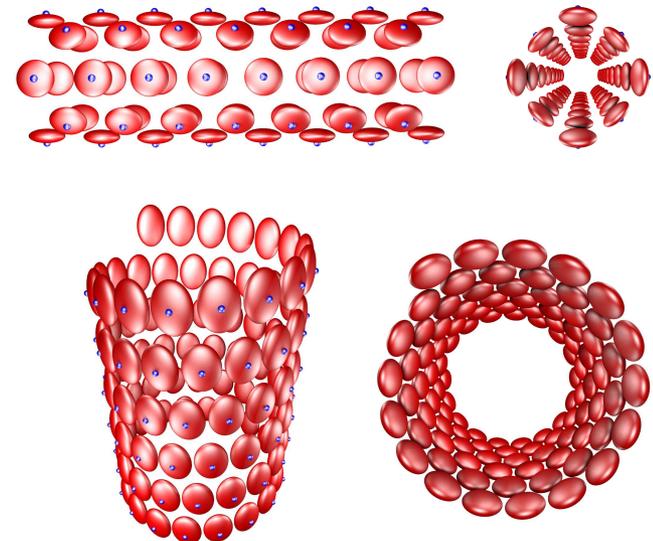
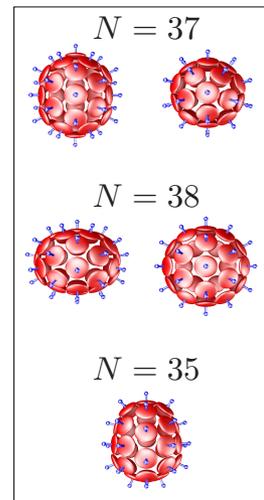
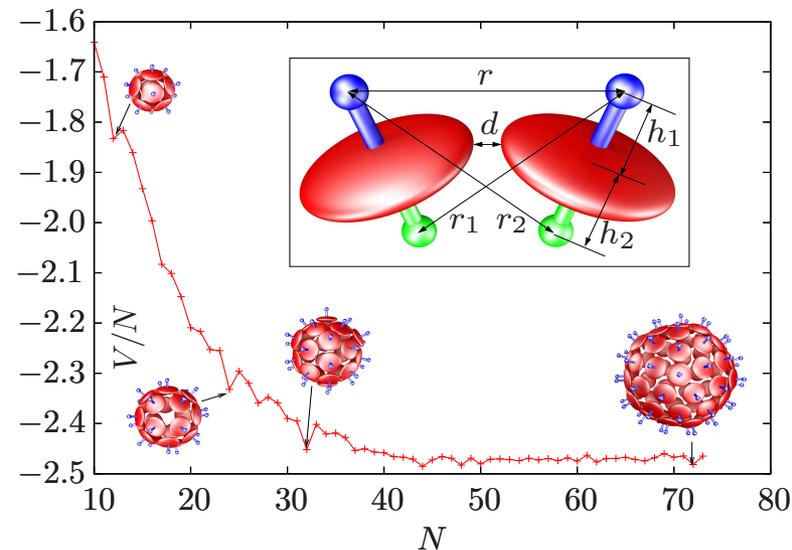


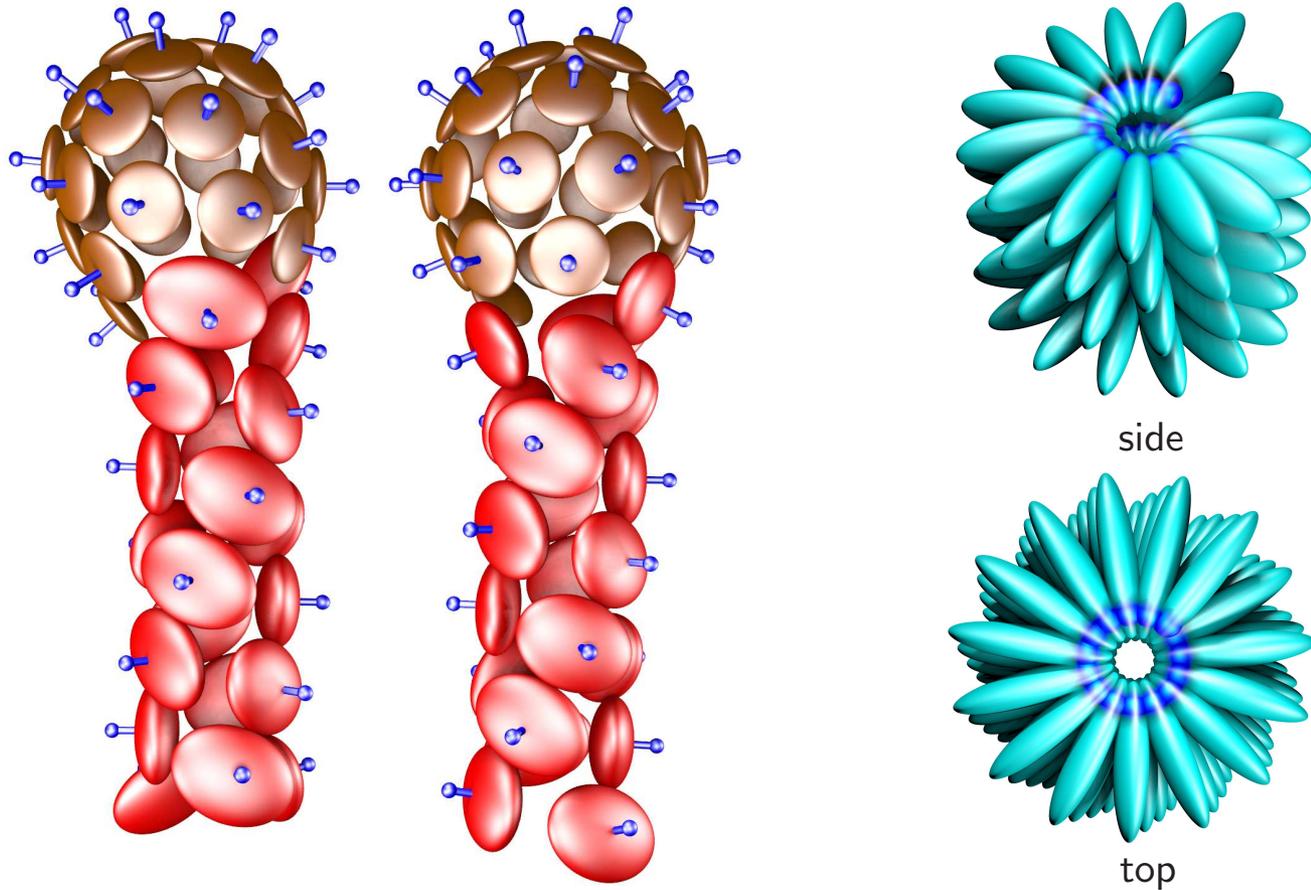
**Palm tree** disconnectivity graphs with  $I_h$  global minima are found for  $T = 1$  and  $T = 3$  shells constructed from **pentagonal** and **hexagonal** pyramids. **Landscapes** of this form are associated with good **structure-seekers**.

# Emergent Behaviour from Simple Models (*ACS Nano*, 4, 219, 2010)

Adding two repulsive **axial** Lennard-Jones sites to an **ellipsoidal** core produces remarkably versatile building blocks. **Oblate** ellipsoids favour **shells**, while stronger repulsion for the longer semiaxis produces **tubes** and **spirals**.

Global minima for the **oblate** ellipsoids include **icosahedra** for  $N = 12, 32$  and  $72$  ( $T = 1, 3$  and  $7$ ), the **snub cube** observed for polyoma virus capsids at  $N = 24$ , and **conical**, **biaxial**, **prolate**, and **oblate** shells at other sizes.

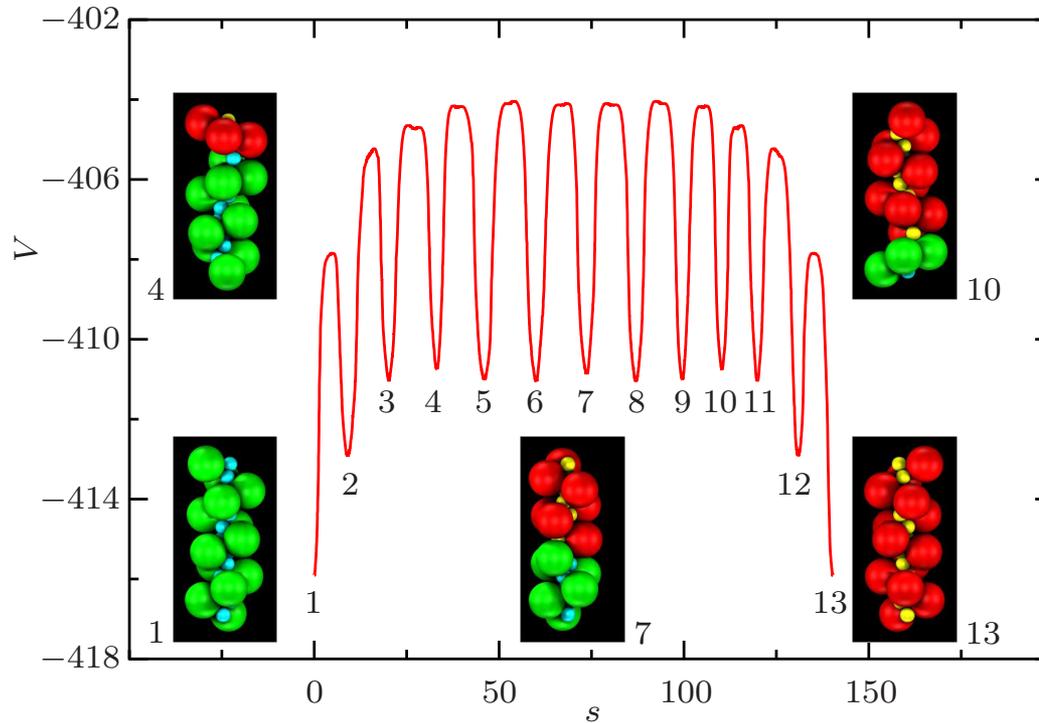




Mixing ellipsoidal building blocks that favour shells and tubes produces structures with distinct **head** and **tail** regions (left): the **Frankenphage**.

Particles with a Lennard-Jones site **buried** in the ellipsoid assemble into a **spiral** structure (right) with parameters similar to **tobacco mosaic virus**.

## Nanodevices (*Soft Matter*, 7, 2325, 2011)



Coupled **linear** and **rotary** motion has been characterised for a helix composed of 13 asymmetric **dipolar dumbbells** in the presence of an **electric field**.

The helix changes **handedness** as the boundary between segments propagates along the strand via successive steps that switch the dumbbells.

## Connecting Dynamics and Thermodynamics (*Science*, 293, 2067, 2001)

The organisation of a PES is governed by its **stationary points**, where Taylor expansions provide local descriptions in terms of **Hessian matrices**.

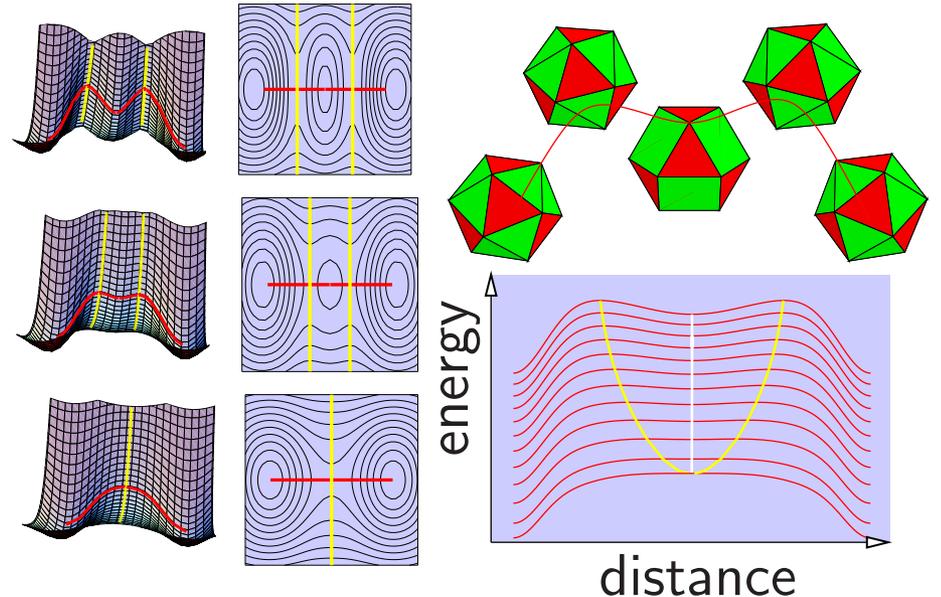
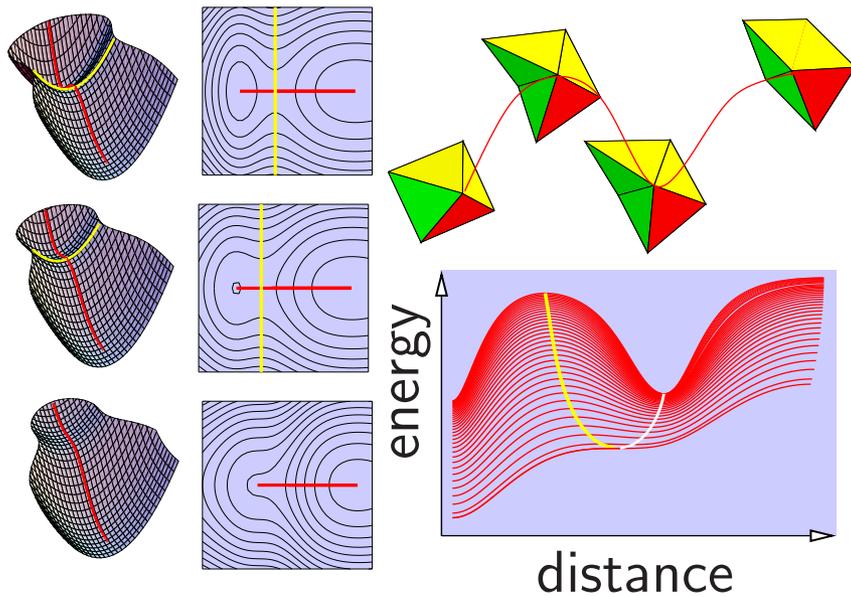
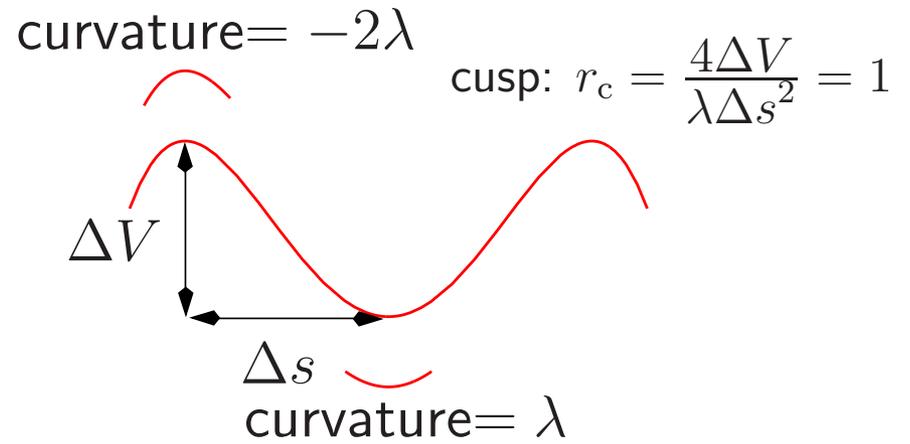
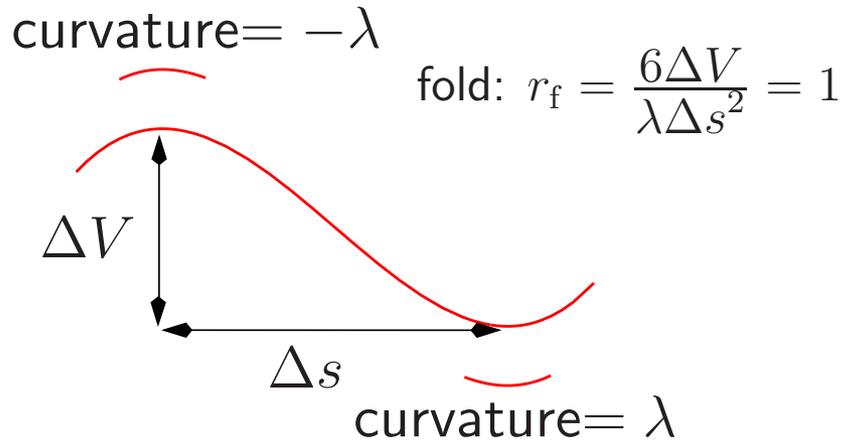
The organisation of **families** of PES's as a function of **parameters** in the potential is determined by the stationary points that possess additional zero Hessian eigenvalues, known as **non-Morse** points.

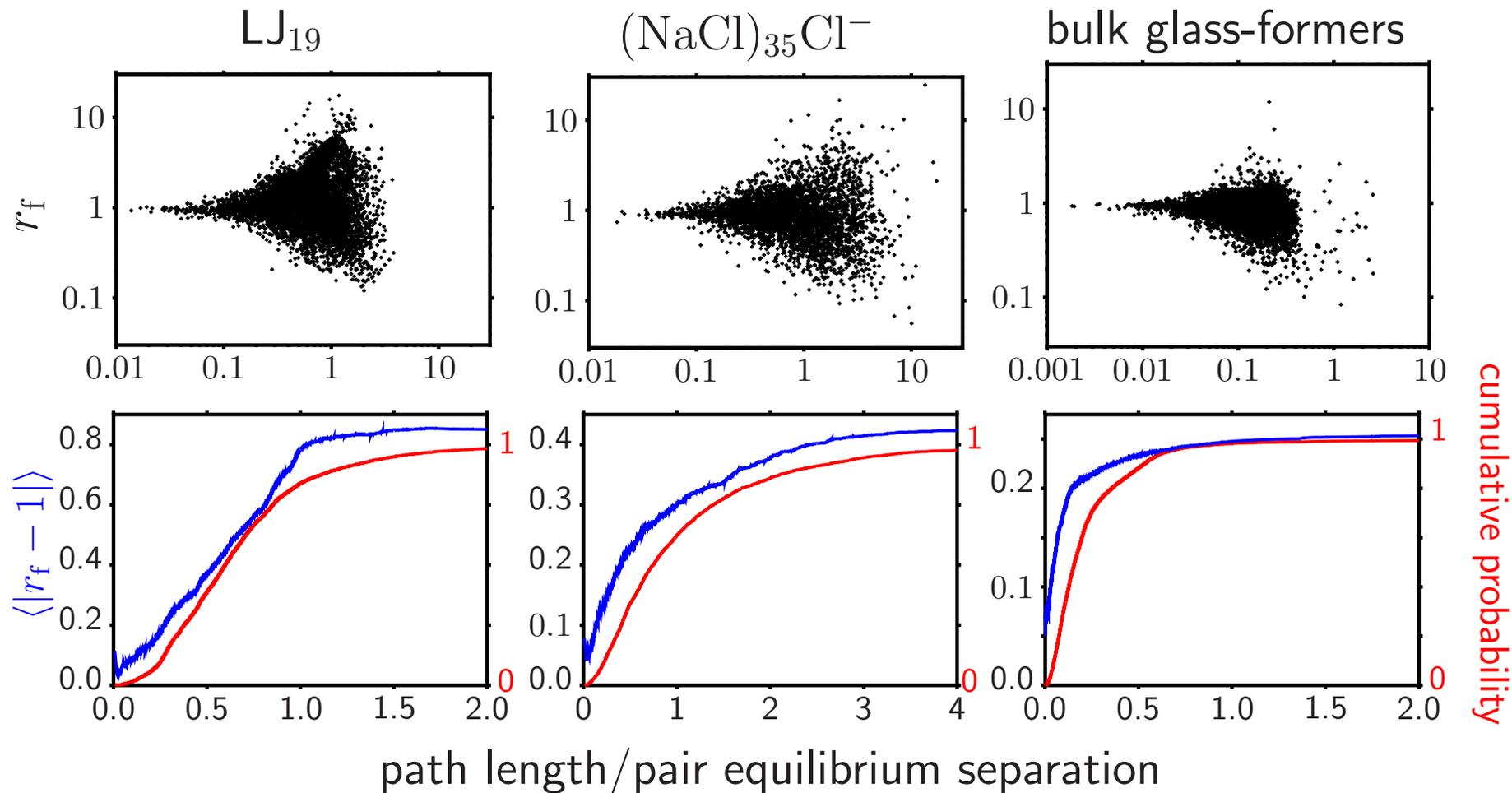
**Catastrophe theory** provides a local representation of the PES around non-Morse points as a function of **both** atomic coordinates and parameters.

The **splitting lemma** reduces the dimensionality to the **essential** variables, while **transversality** guarantees that the resulting classifications are **universal**.

The simplest one-parameter catastrophes are the **fold**,  $f(x) = \frac{1}{3}x^3 + ax$ , and the symmetrical **cusp**,  $f(x) = \frac{1}{4}x^4 + \frac{1}{2}ax^2$ .

# Geometries of the **fold** and **cusp** catastrophes.





For systems with a fixed potential we effectively have a **snap-shot** of parameter space. On average,  $r_f$  remains **close to unity** for many pathways in both model clusters and bulk, providing an explanation for **Hammond's postulate**.